

Statistical analysis for  
GWAS:  
Population structure

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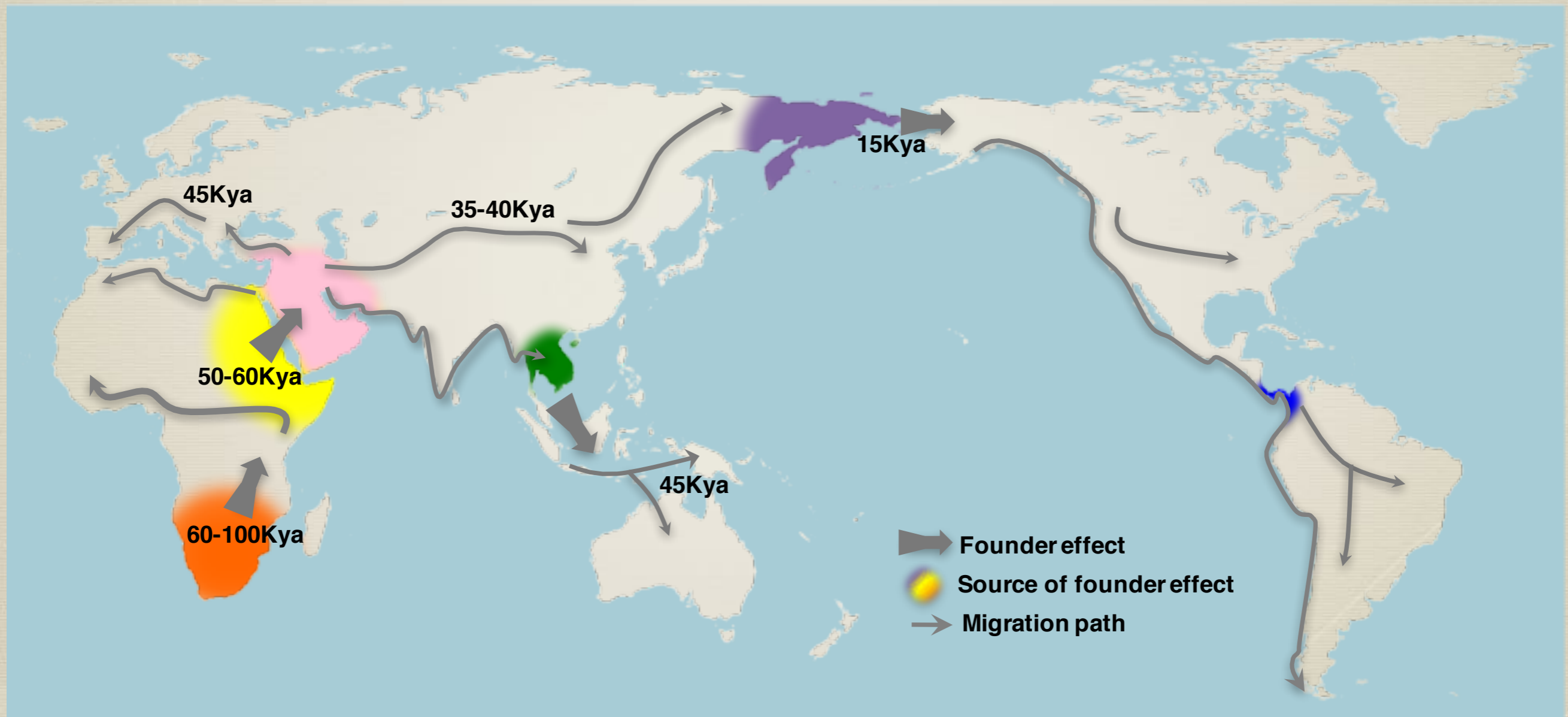
December 10, 2016

# Modules

- \* Serial founder effects
- \* Basic population structure
- \* Hardy-Weinberg equilibrium
- \* How genetic structure changes
- \* Linkage disequilibrium
- \* Effective population size
- \* Demographic models
- \* African origins and population structure

# Serial founder effects

# Historical human migration routes



Henn, Cavalli-Sforza, and Feldman (2012) PNAS

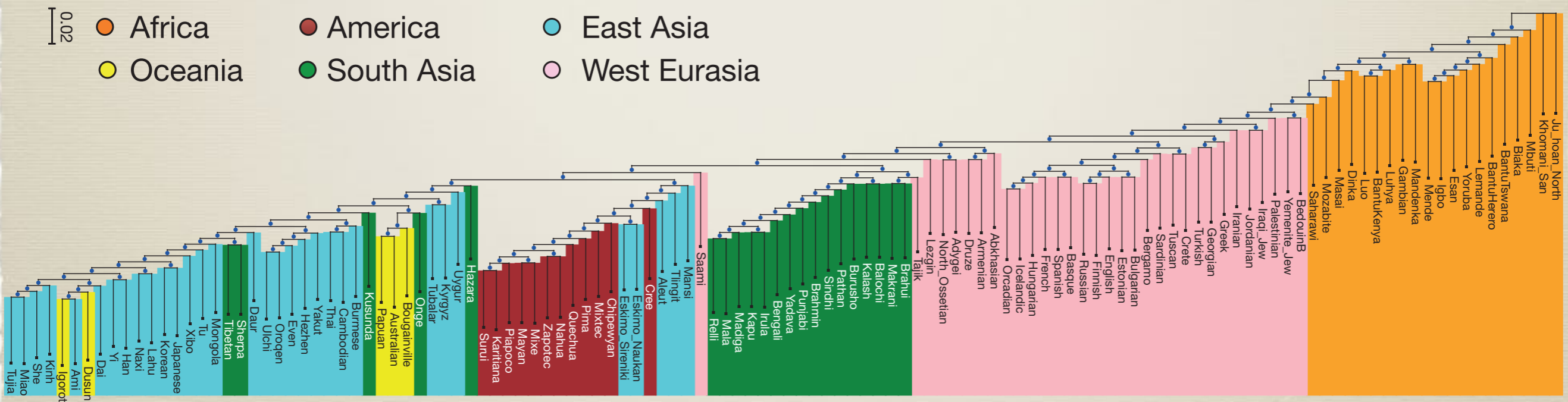
# Genetic divergence across diverse human genomes

East Asians,  
Americans, Oceania

South  
Asians

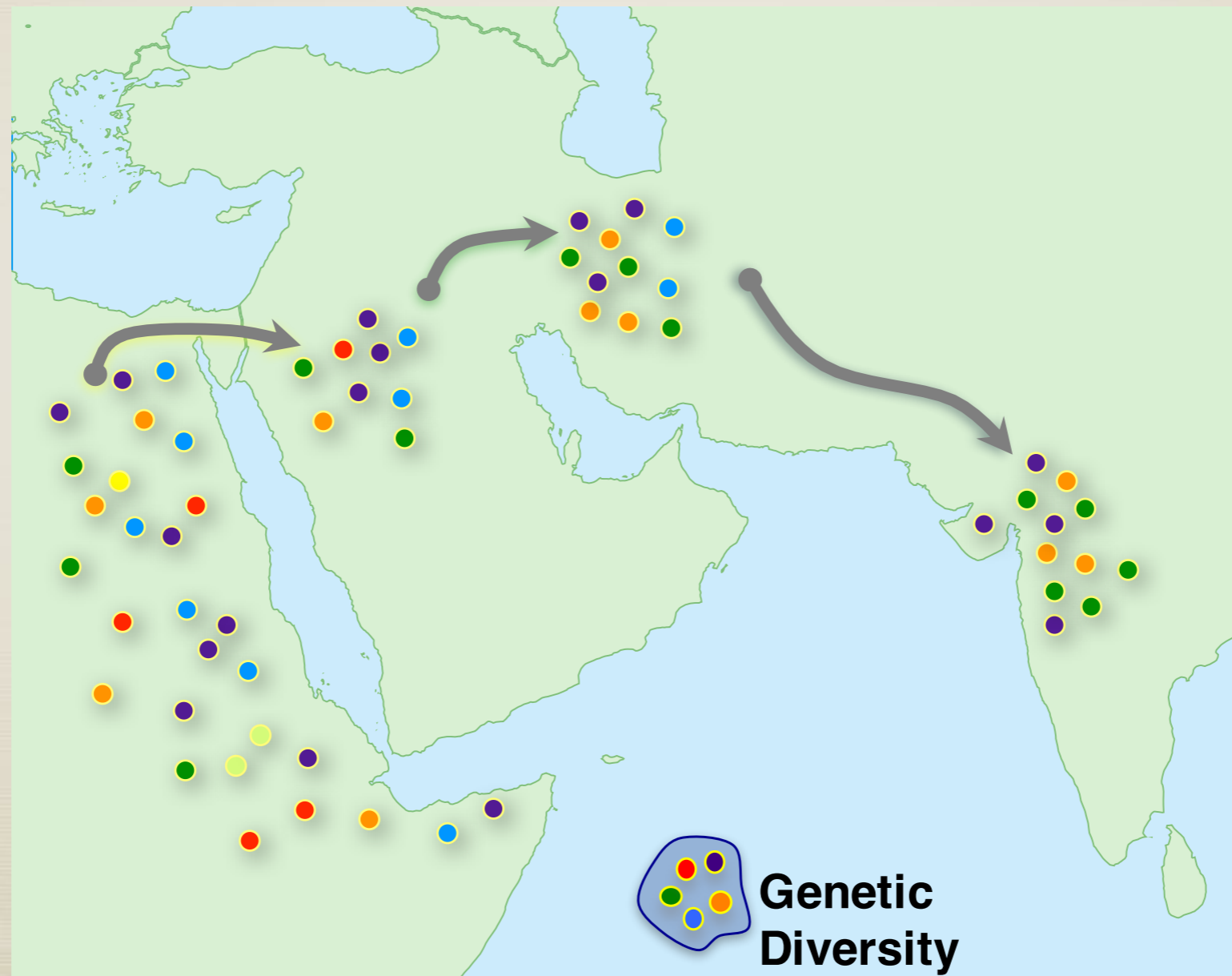
Europeans  
& Near East

Africans



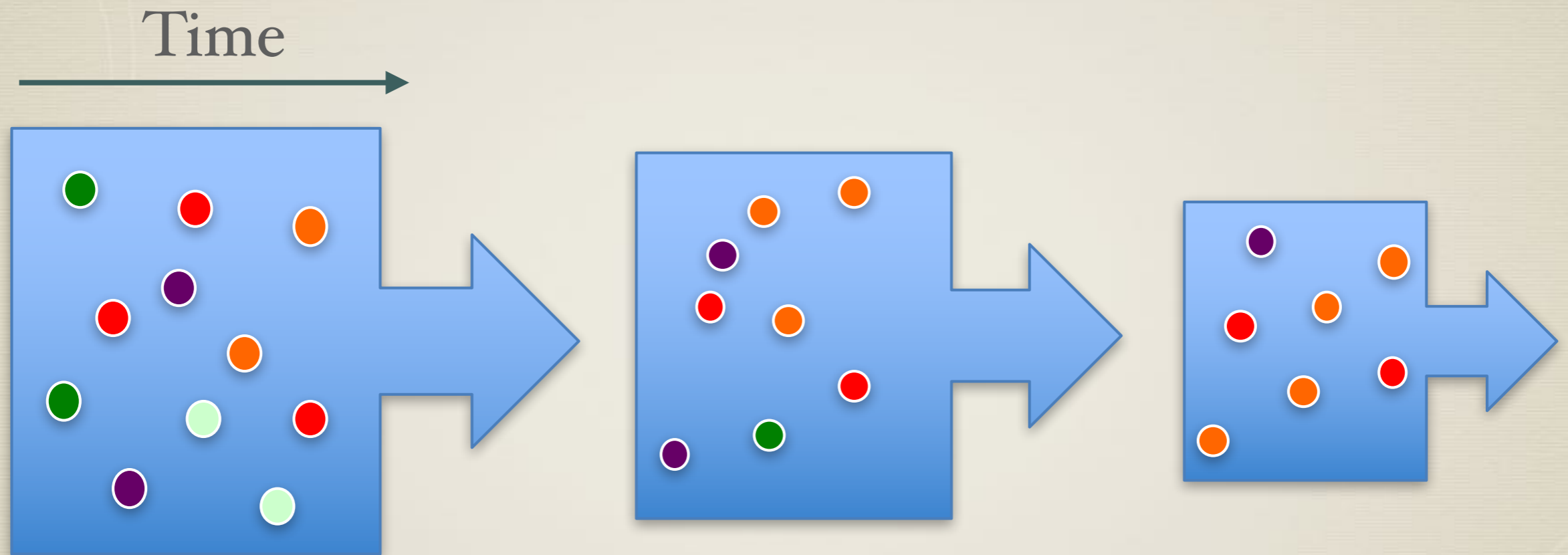
S Mallick et al. Nature 1-6 (2016) doi:10.1038/nature18964

# Reduction in diversity due to serial founder effects



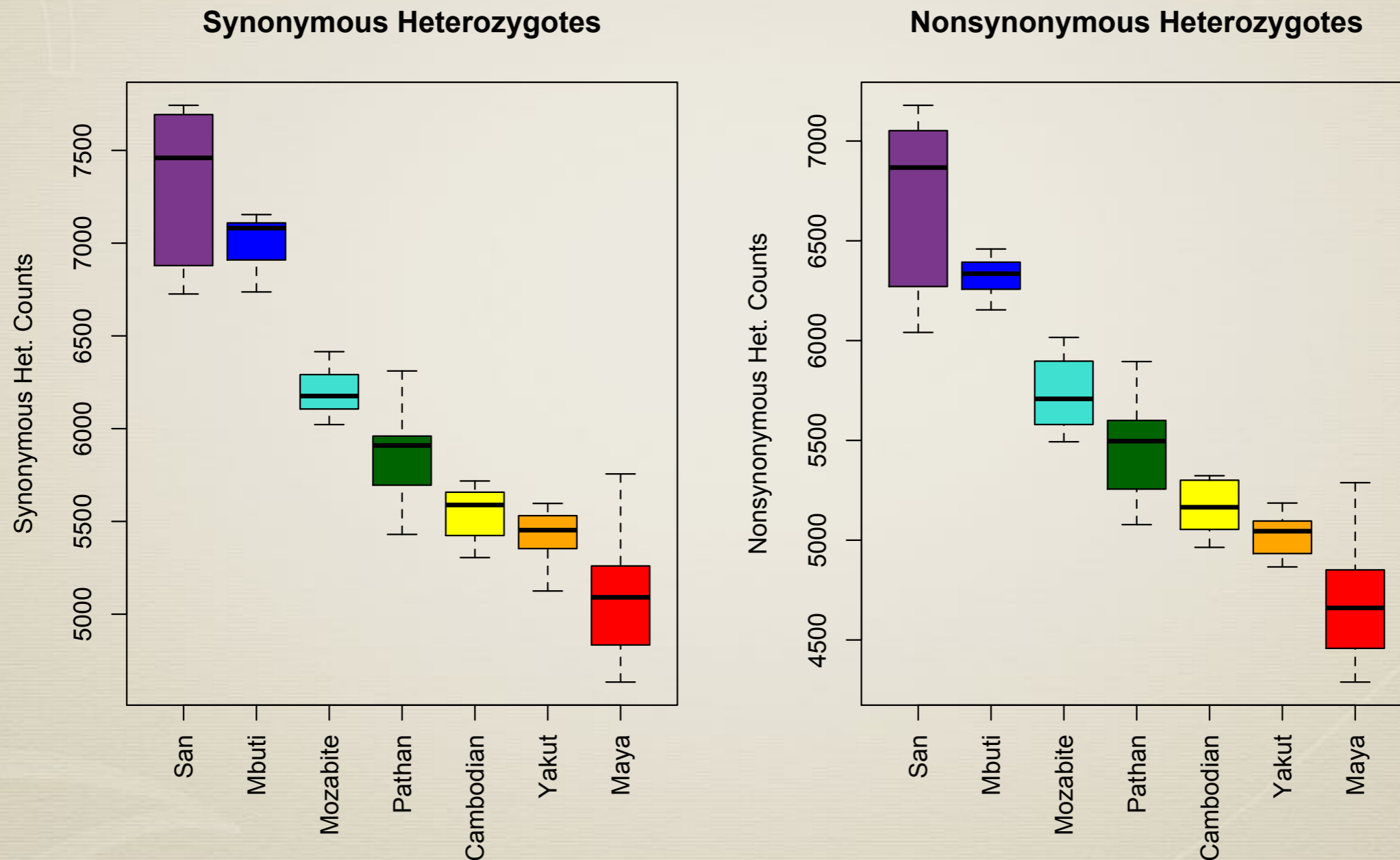
Henn, Cavalli-Sforza, and Feldman (2012) PNAS

# Serial founder effect model and assumptions



- \* Migration after the initial founder expansion has been limited
- \* There has been no substantial admixture from another highly diverged population
- \* Post-expansion demographic fluctuations have not decreased diversity substantially

# Decline in heterozygosity out-of-Africa

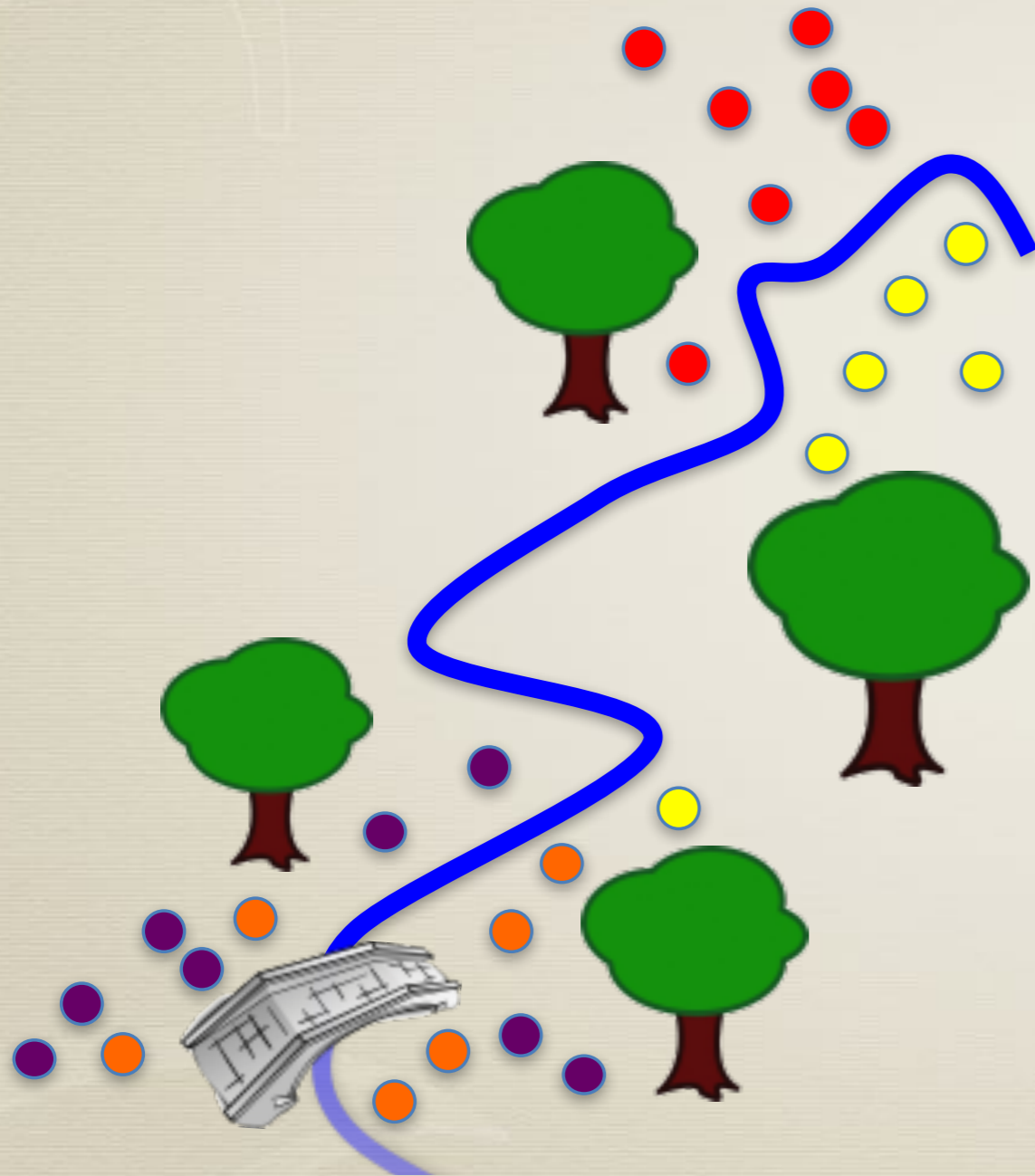


Henn, B.M., et al. (2016). PNAS. 113, E440-9.



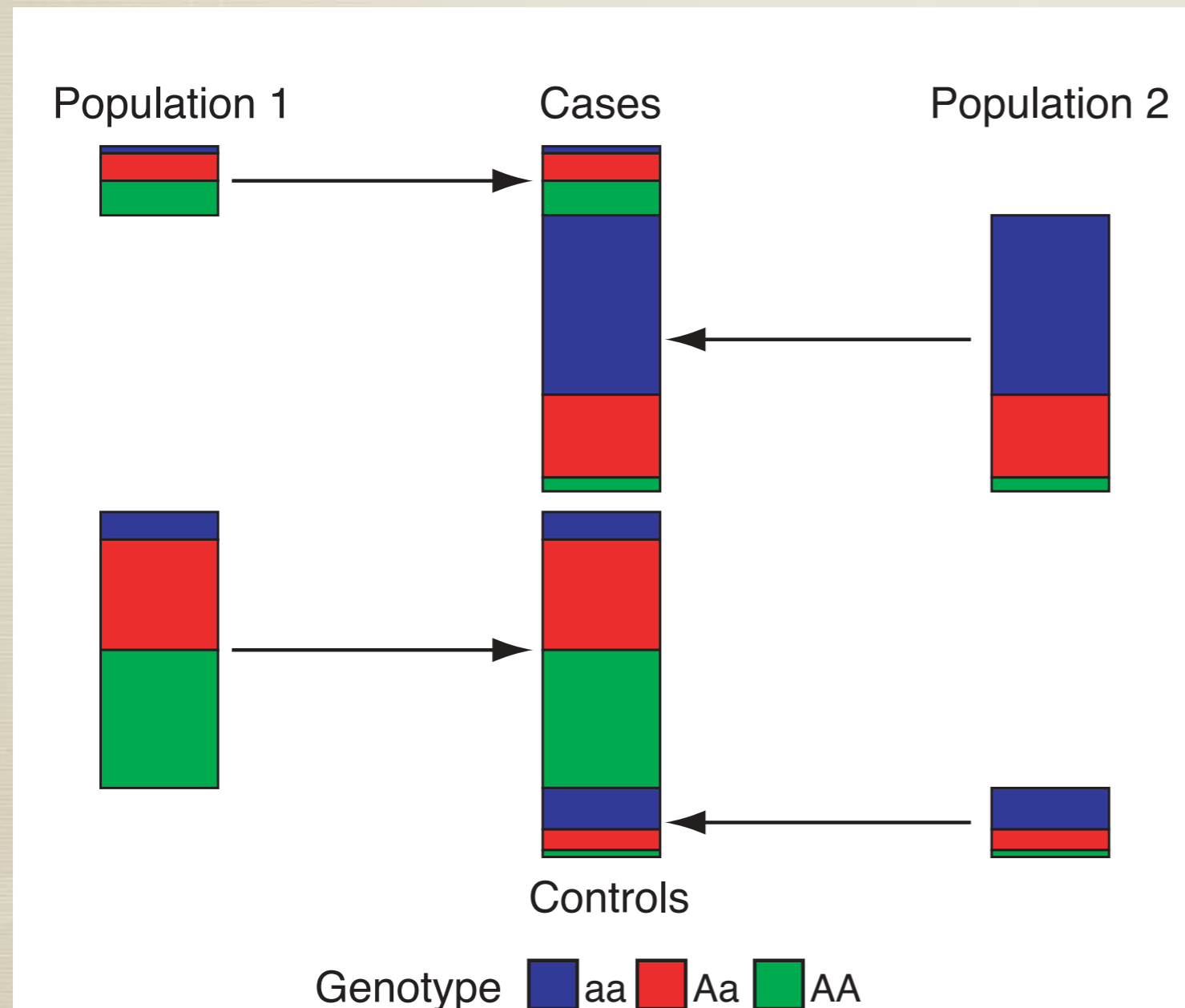
# Basic population structure

# What is population structure?



- \* Can be caused by multiple barriers to random mating: geography, language, ancestry
- \* Random mating is an important assumption in pop gen and stat gen models, usually assess population structure first
- \* Two commonly used methods of detecting structure are allele frequency-based clustering algorithms and principle component analysis

# How does population stratification affect association analyses?



Disease more common in Population 2

- ▶ oversampling cases from this population relative to controls
- ▶ any allele that is more common in Pop 2 appears associated with the disease

Marchini et al.,  
Nat Genet 2004

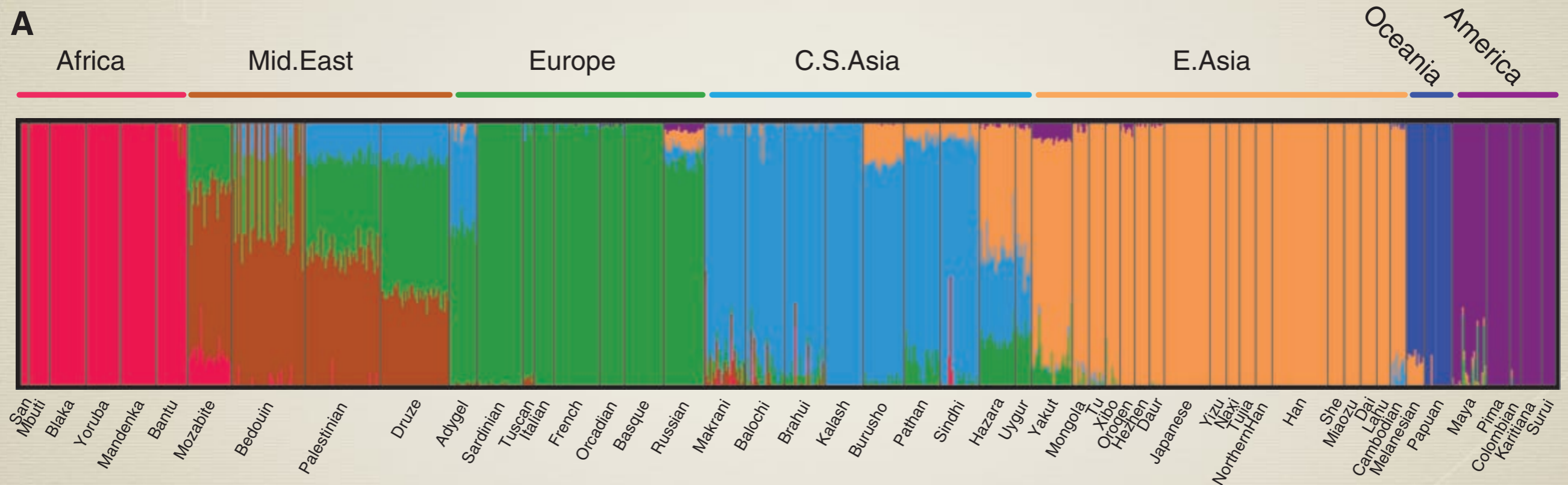
# Population structure with clustering algorithms



I'm 80% red  
and 20% blue!

Each bar represents 1 individual. The number of colors is the number of potential ancestries. Proportion of different colors is the proportion of different ancestries for that individual

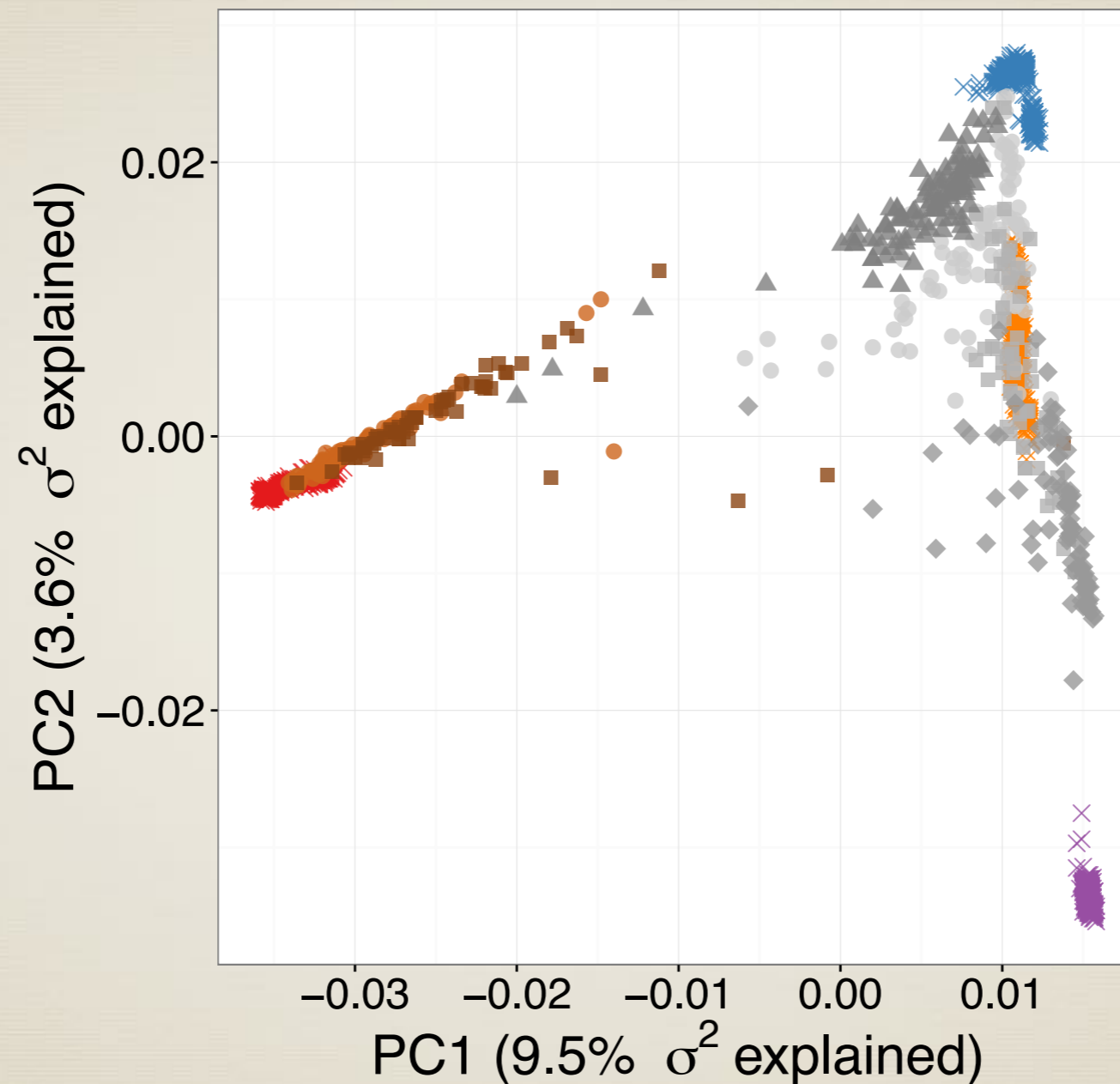
# Continental ancestry



**Fig. 1.** Individual ancestry and population dendrogram. **(A)** Regional ancestry inferred with the *frappe* program at  $K = 7$  (13) and plotted with the Distruct program (31). Each individual is represented by a vertical line partitioned into colored segments whose lengths correspond to his/her ancestry coefficients in up to seven inferred ancestral groups. Population labels were added only after each individual's ancestry had been estimated; they were used to order the samples in plotting.

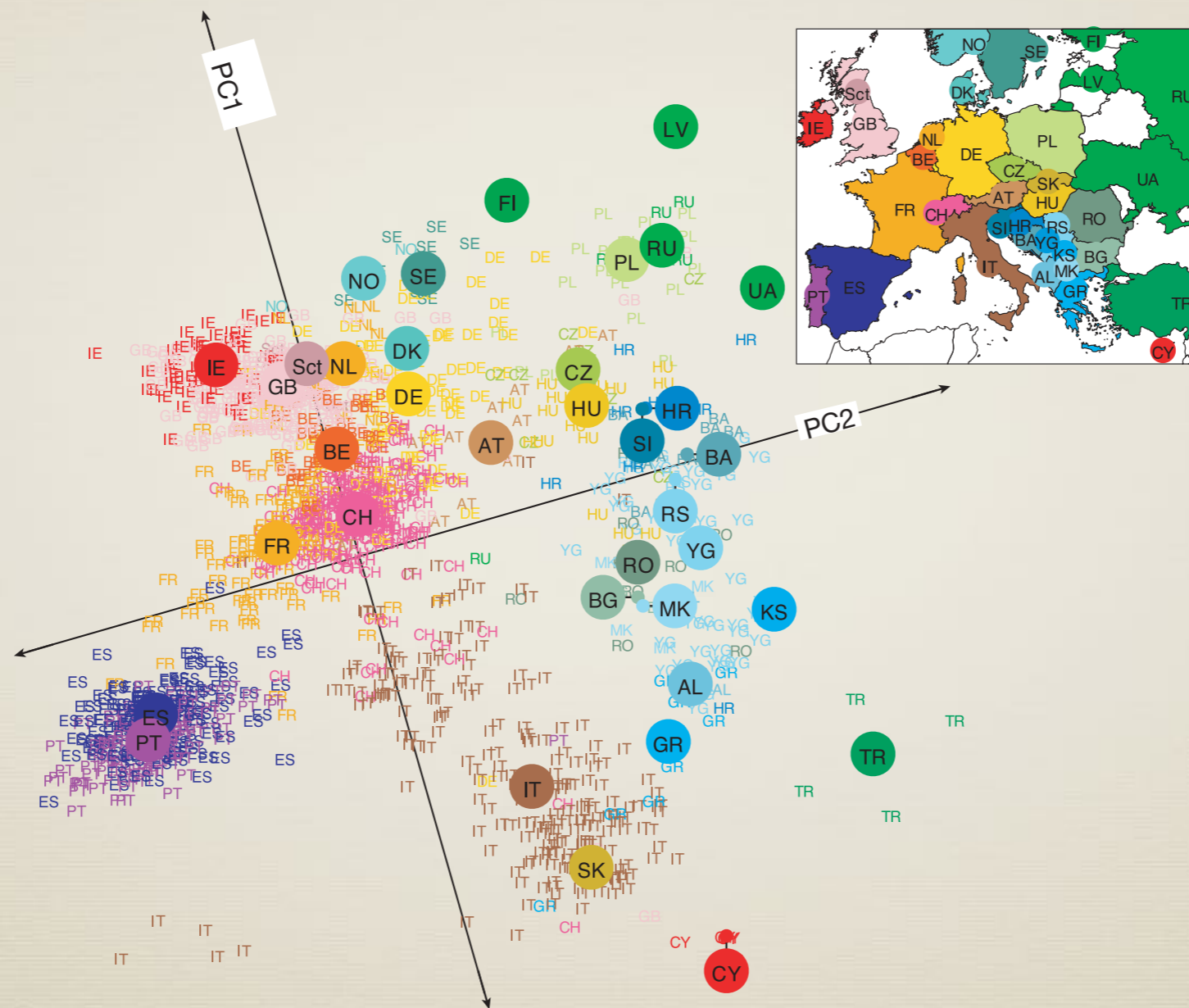
Li, J.Z., et al. (2008).  
Science 319, 1100–  
1104.

# Global PCA



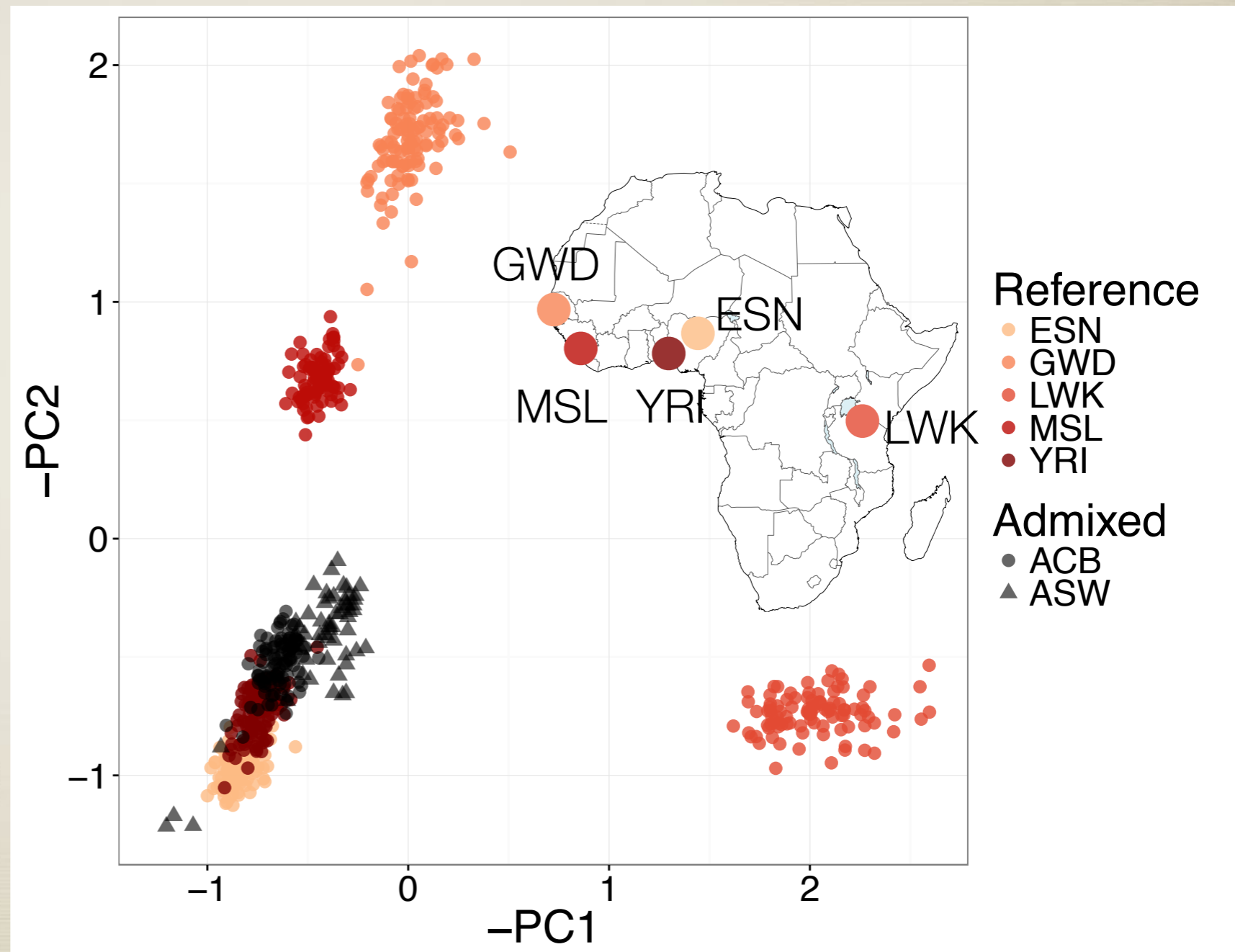
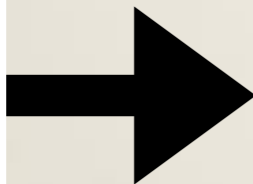
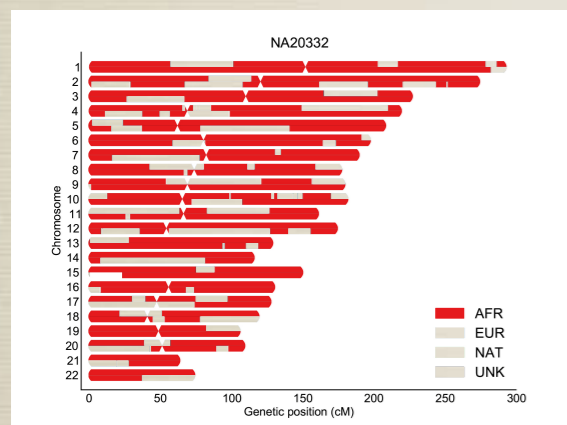
**Reference panel** × AFR × EUR × EAS × SAS  
**African Americans** ● ACB ■ ASW  
**Hispanic/Latinos** ● CLM ■ MXL ◆ PEL ▲ PUR

# Genes mirror geography



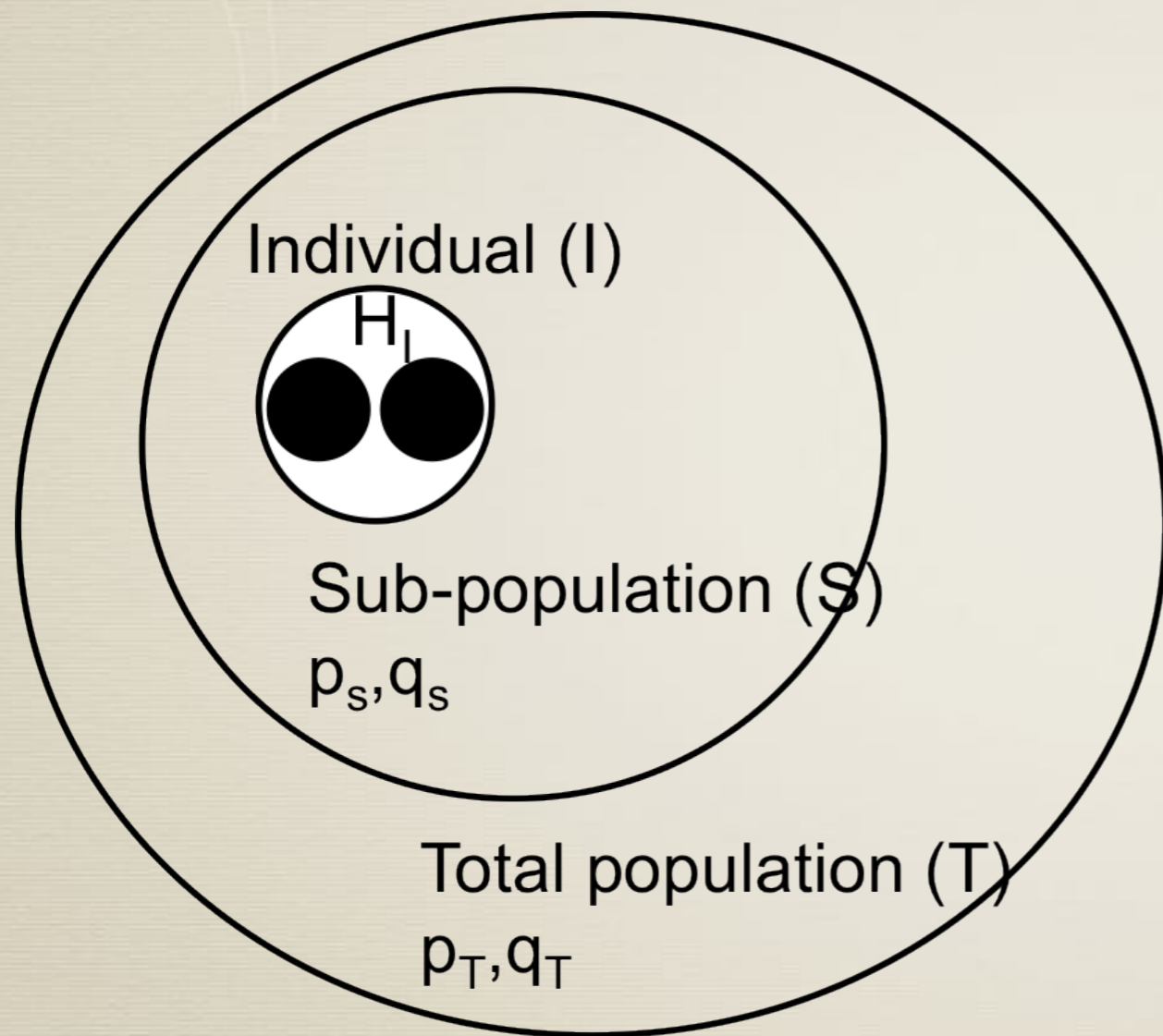
Novembre, J., et al. (2008). *Nature* 456, 98–101.

# Ancestry-specific PCA provides insights into admixture origins





# Fixation index ( $F_{ST}$ )



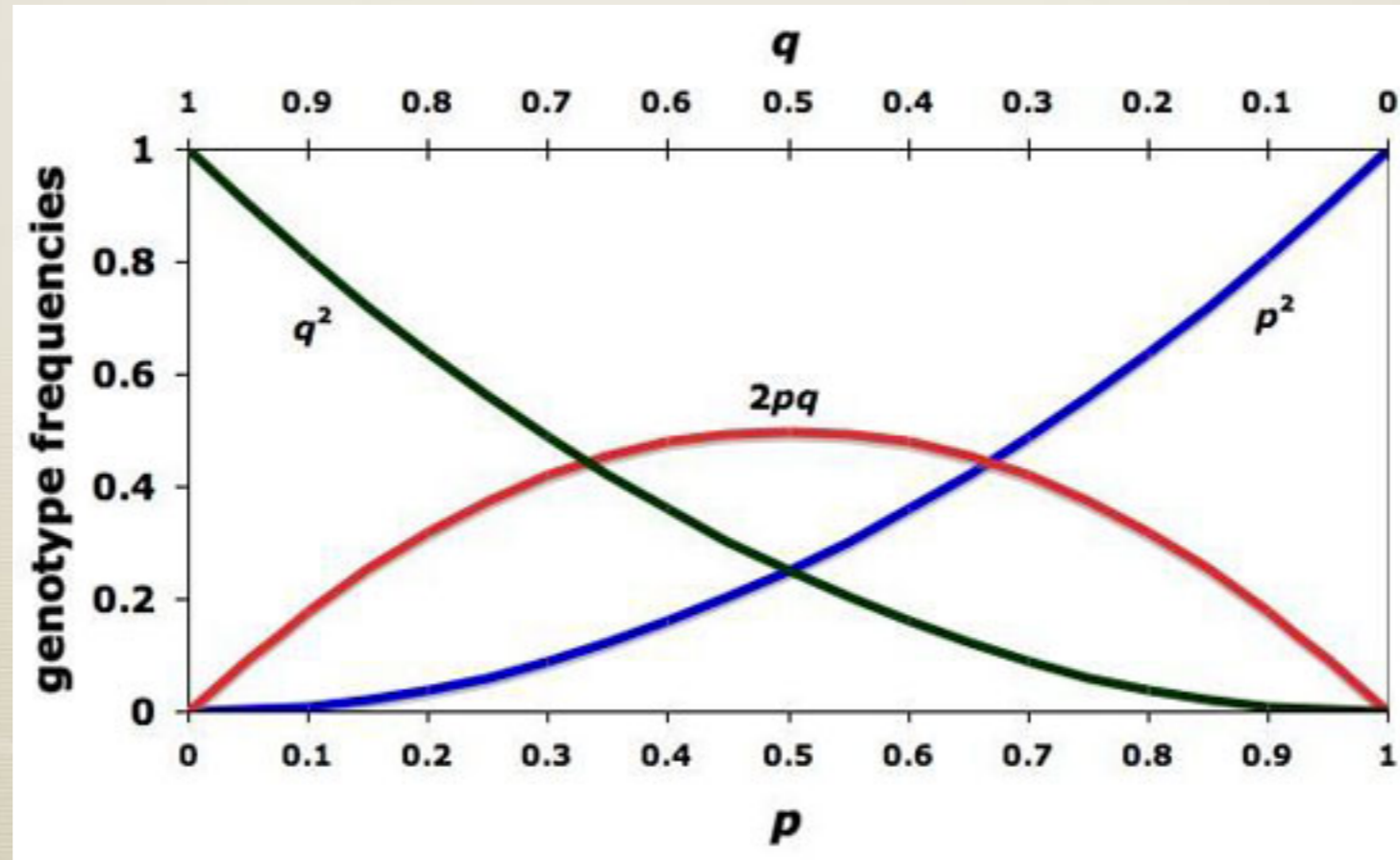
- \* Measures divergence across population pairs (S = subpopulations, T = total)
- \* H = heterozygosity

$$F_{ST} = 1 - \frac{H_S}{H_T}$$
$$= 1 - \frac{2p_S q_S}{2p_T q_T}$$

Graham Coop's pop gen notes:  
<http://bit.ly/2fEXzUe>

# Hardy-Weinberg Equilibrium

The **Hardy–Weinberg equilibrium** model states that allele and genotype frequencies in a population will remain constant from generation to generation in the absence of other evolutionary influences.



# Parental allele frequencies

		Mom	
		A ( $p$ )	a ( $q$ )
Dad	A ( $p$ )	AA ( $p^2$ )	Aa ( $pq$ )
	a ( $q$ )	Aa ( $pq$ )	aa ( $q^2$ )

$p$  = frequency of A allele

$q$  = frequency of a allele

$P$  = frequency of AA genotype

$H$  = frequency of Aa genotype

$Q$  = frequency of aa genotype

# Hardy-Weinberg equilibrium

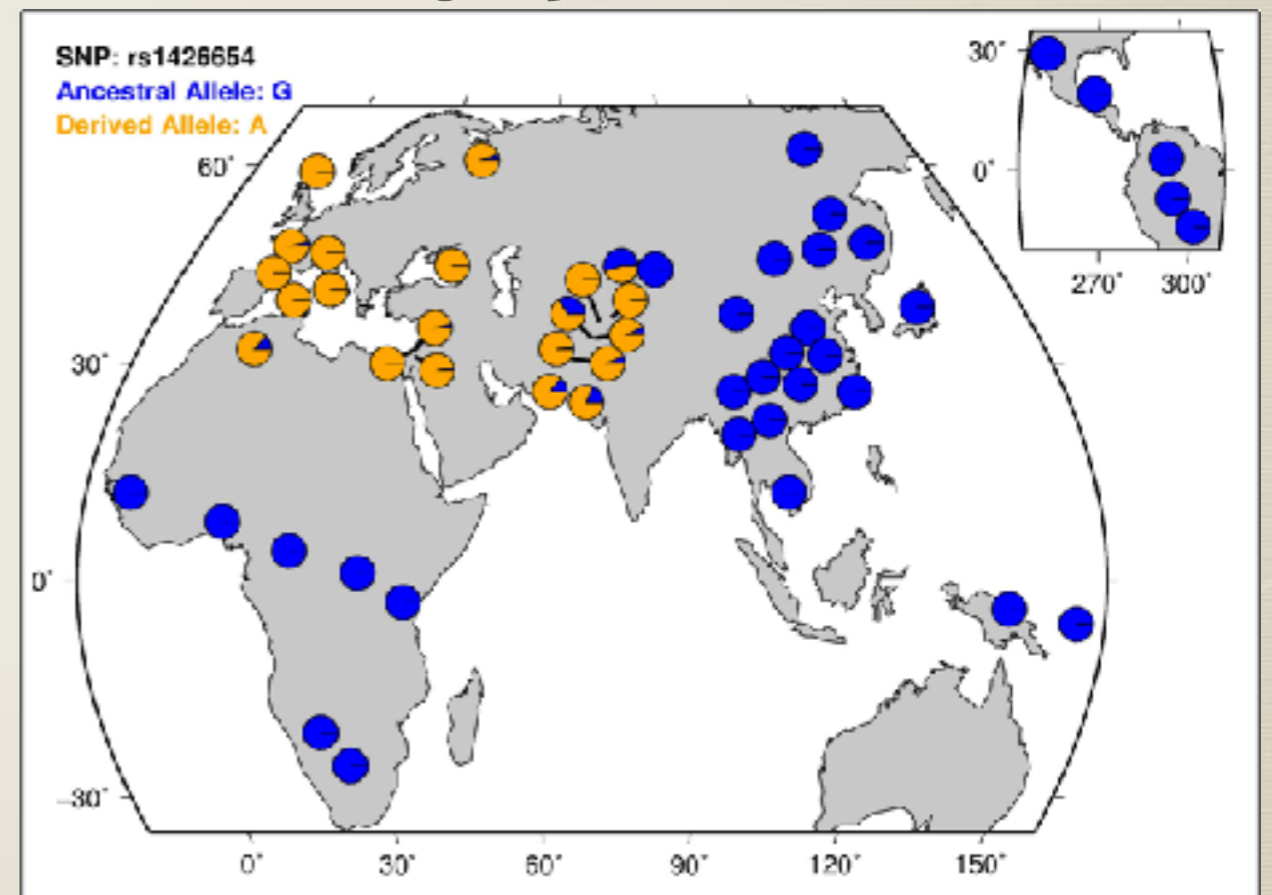
Mating	Frequency (parents)	Frequency of progeny		
		AA	Aa	aa
AA x AA	$P^2$	$P^2$		
AA x Aa	$2PH$	$PH$	$PH$	
AA x aa	$2PQ$		$2PQ$	
Aa x Aa	$H^2$	$H^2/4$	$H^2/2$	$H^2/4$
Aa x aa	$2HQ$		$HQ$	$HQ$
aa x aa	$Q^2$			$Q^2$
	$(P + H + Q)^2$	$(P+H/2)^2$	$2(P+H/2)(Q+H/2)^{2*}$	$(Q+H/2)^2$
	1	$p^2$	$2pq$	$q^2$

# Hardy-Weinberg: assumptions and violations

## Assumptions

- ✓ organisms are diploid
- ✓ only sexual reproduction occurs
- ? generations are non overlapping
- ? mating is random
- ? population size is infinitely large
- ? allele frequencies are equal in the sexes
- ? there is no migration, mutation or selection

## SLC24A5 - skin color



## Implications:

- \* Allele frequencies are constant, genetic diversity preserved
- \* HWE attained in just 1 generation of random mating

# HWE in a realistic cohort

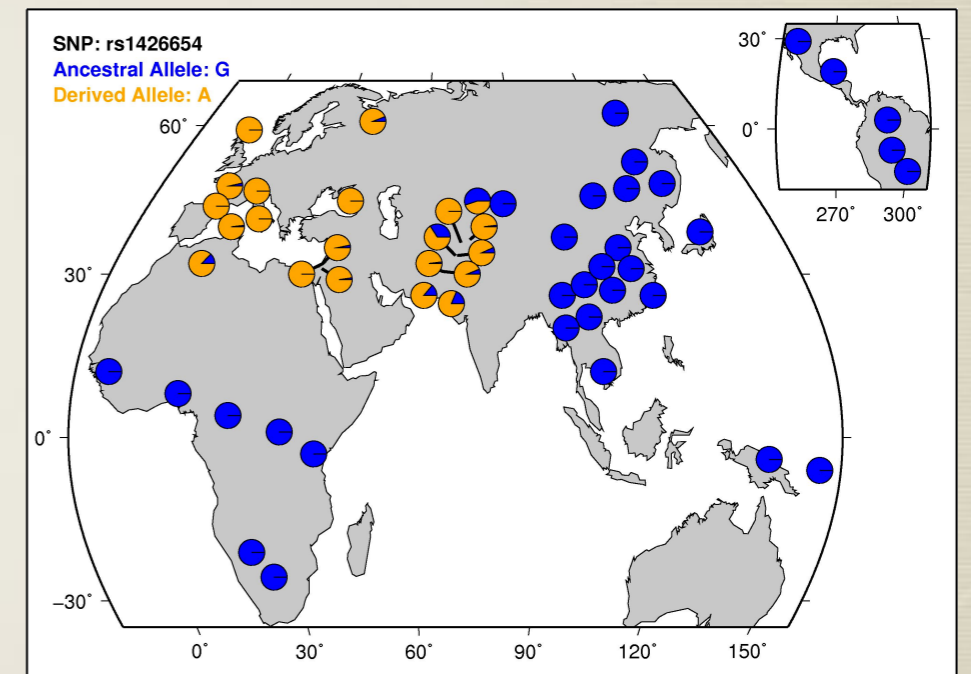
- \* Tennesen et al (ESP): 2439 individuals
- \* 1351 Europeans, 1088 African Americans (80%, 20%)

$$P(\text{derived}|\text{European}) = 1$$

$$P(\text{derived}|\text{African American}) = 0.2$$

$$p_{\text{cohort}} = \frac{1 * 1351 + 0.2 * 1088}{2439} = 0.643$$

$$q_{\text{cohort}} = 1 - p_{\text{cohort}} = 0.357$$



allele	Observed	Expected
DD	$1^2 * 1351 + .2^2 * 1088 = 1395$	$2439 * 0.643^2 = 1088$
AD	$2 * .2 * .8 * 1088 = 348$	$2439 * 2 * 0.643 * .357 = 1120$
AA	$.8^2 * 1088 = 696$	$2439 * 0.357^2 = 311$

$$\chi^2 = 615.08 \quad P < 2.2e-16$$

# How genetic structure changes



# How does population structure change?

Changes in allele frequencies through time

- \* mutation
- \* migration
- \* natural selection
- \* genetic drift
- \* non-random mating

# How does population structure change?

Changes in allele frequencies through time

\* mutation

spontaneous change in DNA

\* migration

Human mutation rate:

\* natural selection

$\sim 1.2 \times 10^{-8} / \text{bp}$

\* genetic drift

▶  $\sim 80-100$  total *de novo* variants

\* non-random mating

▶  $< 1$  *de novo* coding variant

# How does population structure change?

Changes in allele frequencies through time

\* mutation

\* migration

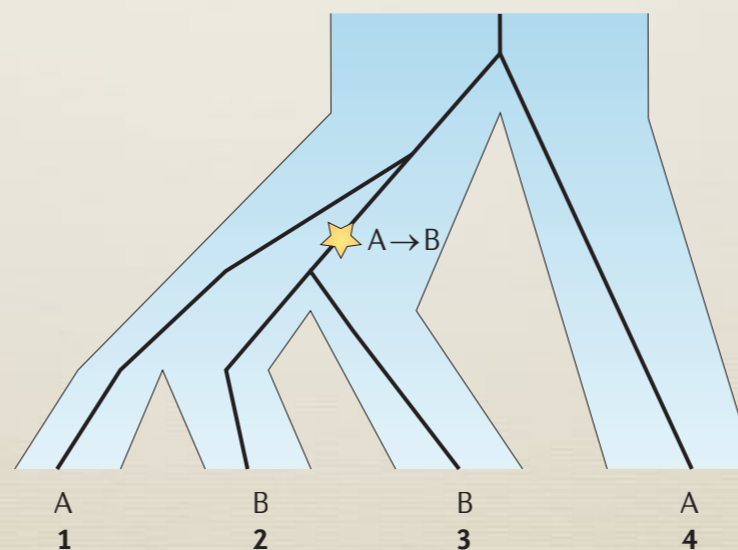
\* natural selection

\* genetic drift

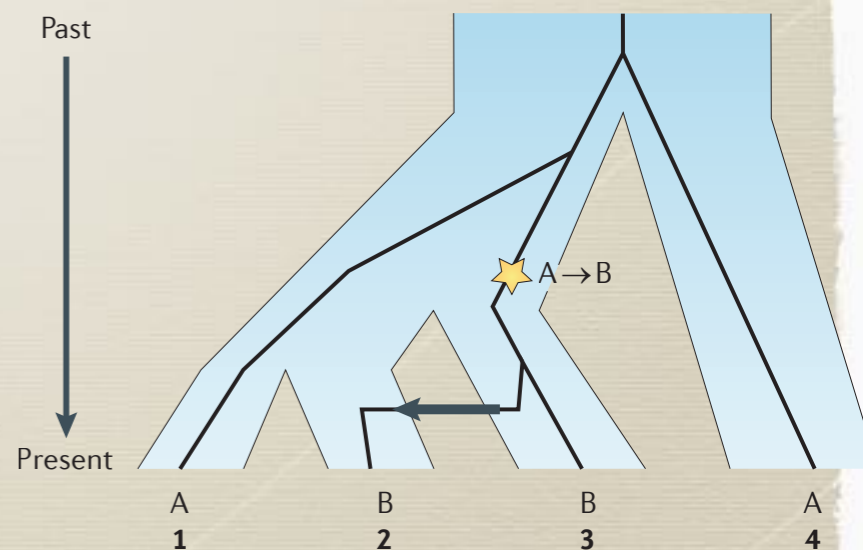
\* non-random mating

individuals moves into population, introduce new alleles (“gene flow”)

**a** Ancestral polymorphism



**b** Introgression (gene flow)



Sousa, V., and Hey, J. (2013). Nat. Rev. Genet. 14, 404–414.

# How does population structure change?

Changes in allele frequencies through time

\* mutation

\* migration

\* natural selection

\* genetic drift

\* non-random mating



certain genotypes produce more/less offspring

differences in survival and reproduction → differences in “fitness”

Many kinds: balancing (e.g. sickle-cell), positive (e.g. height), negative (most common), etc

# How does population structure change?

Changes in allele frequencies through time

\* mutation

genetic change by chance alone

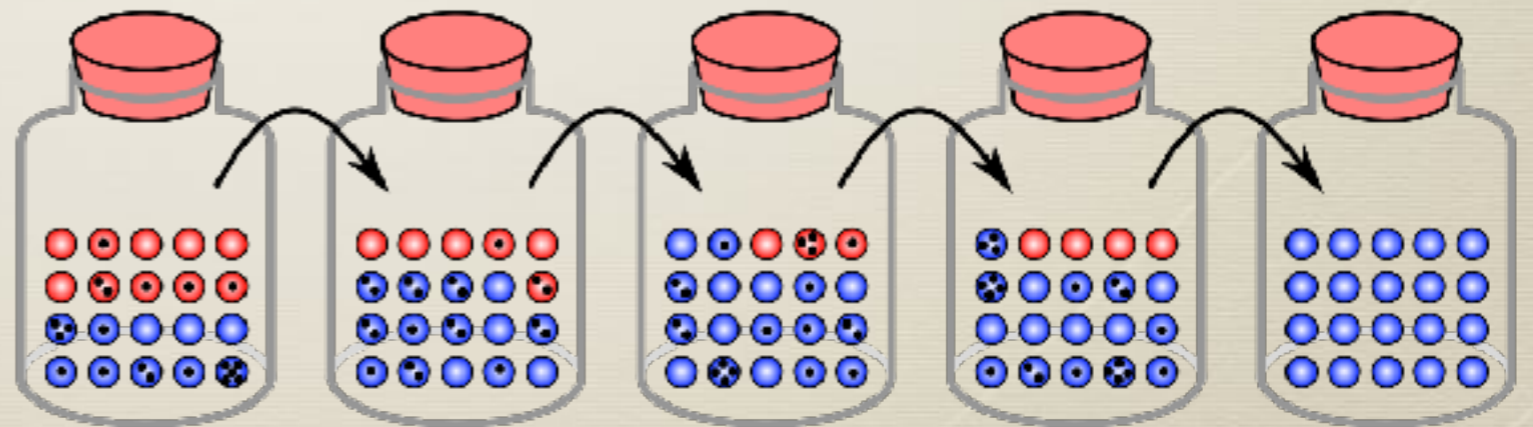
\* migration

occurs in small populations

\* natural selection

\* genetic drift

\* non-random mating



# How does population structure change?

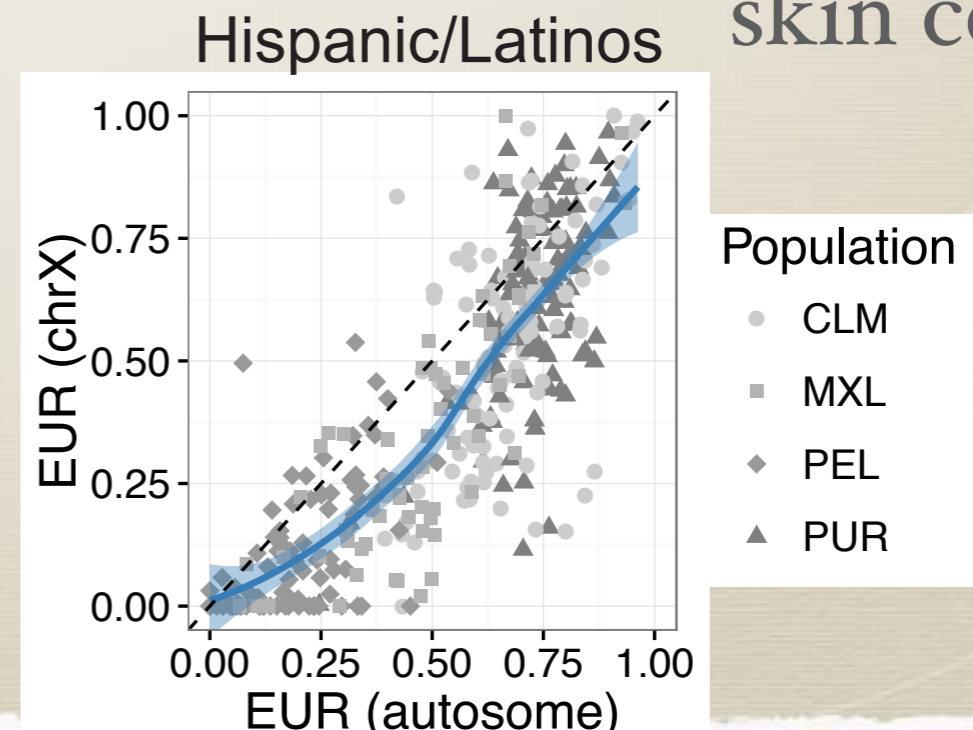


Changes in allele frequencies through time

- \* mutation
- \* migration
- \* natural selection
- \* genetic drift
- \* non-random mating

assortative mating: mate with similar type

Examples: education, height, skin color



# Linkage disequilibrium

**Linkage disequilibrium** is the non-random association of alleles at different loci. Loci are said to be in LD when the frequency of association of their different alleles is higher or lower than what would be expected if the loci were independent and associated randomly.

**Recombination** is the process or act of exchanges of DNA between chromosomes, resulting in a different genetic combination and ultimately to the formation of unique gametes with chromosomes that are different from those in parents.



# Calculation of linkage disequilibrium

Suppose we have the following sequences:

ACT**T**GTAT.....GATCA**A**CCAG

ACT**C**GTAT.....GATCA**A**CCAG

ACT**C**GTAT.....GATCA**G**CCAG

**SNP<sub>1</sub>**

**SNP<sub>2</sub>**

<b>Alleles</b>	<b>1</b>	<b>2</b>
<b>1</b>	<b>T</b>	<b>A</b>
<b>2</b>	<b>C</b>	<b>G</b>

# Calculation of linkage disequilibrium

- \* Covariance between A and B alleles at two loci:

$$D_{AB} = p_{AB} - p_A p_B$$

- \* Common statistic for summarizing LD:

$$r^2 = \frac{D^2}{p_A(1 - p_A)p_B(1 - p_B)}$$

- \* Decay of LD over time (t in generations):

$$D_t = (1 - r)^t D_0$$

P(recombination in one generation)

# Calculation of linkage disequilibrium

Haplotype	Symbol	Frequency
$A_1B_1$	$x_{11}$	0.6
$A_1B_2$	$x_{12}$	0.1
$A_2B_1$	$x_{21}$	0.2
$A_2B_2$	$x_{22}$	0.1

Allele	Frequency
$A_1$	$p_1 = x_{11} + x_{12} = 0.7$
$A_2$	$p_2 = x_{21} + x_{22} = 0.3$
$B_1$	$q_1 = x_{11} + x_{21} = 0.8$
$B_2$	$q_2 = x_{12} + x_{22} = 0.2$

observed      expected  
equilibrium

$$D = x_{11} - p_1 q_1$$

$$= 0.6 - 0.7 * 0.8$$

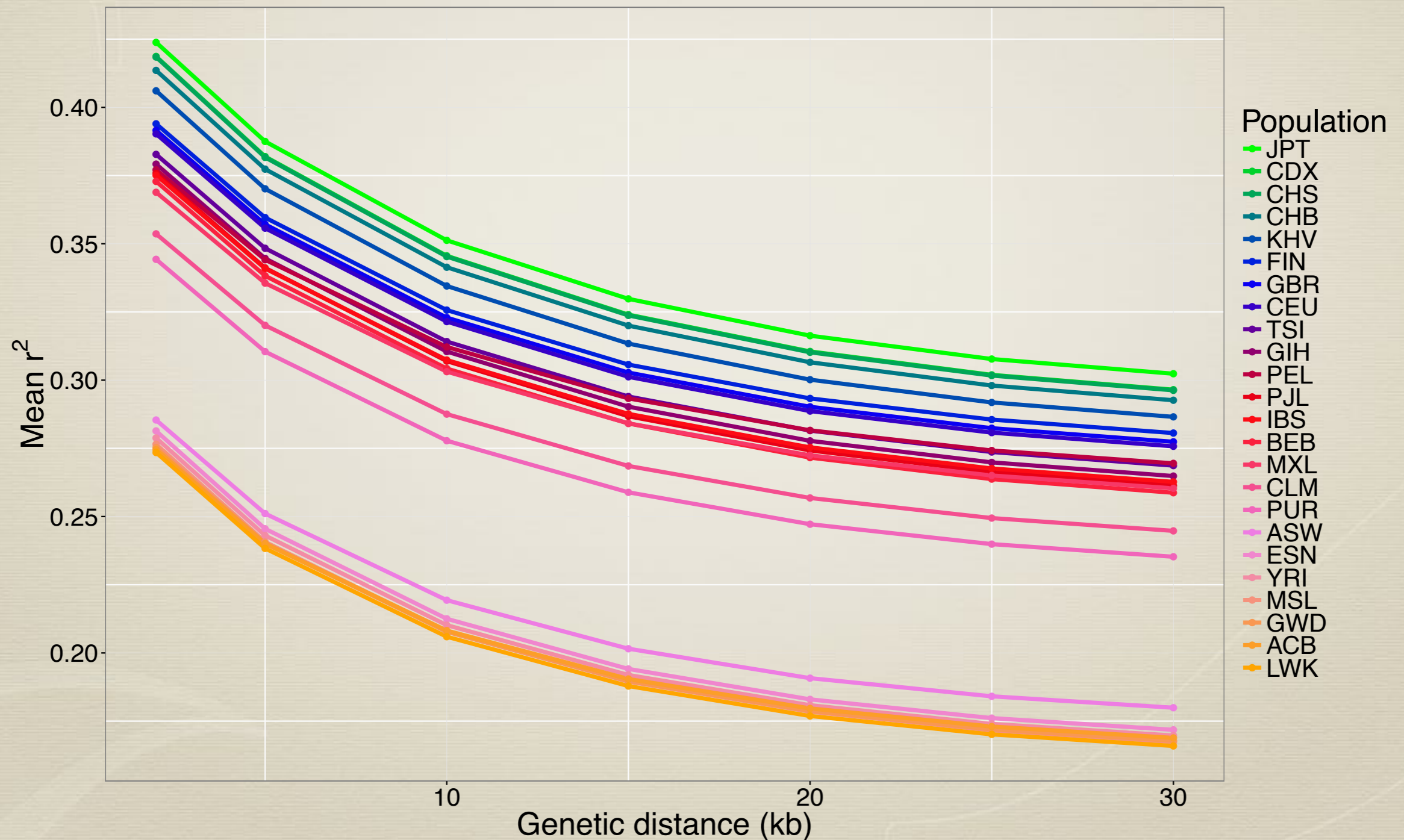
$$= 0.04$$

$$r^2 = \frac{D^2}{p_1 p_2 q_1 q_2}$$

$$= \frac{0.04^2}{0.7 * 0.3 * 0.8 * 0.2}$$

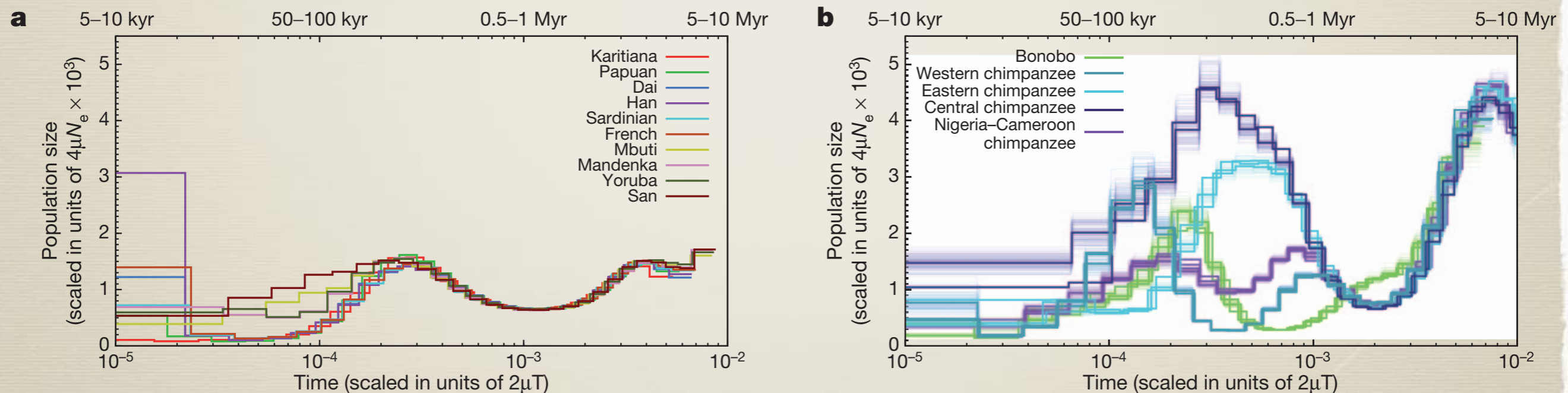
$$= 0.048$$

# LD decay across 1000 Genomes populations



# Effective population size

The **effective population size** ( $N_e$ ) is the population size that would result in the same rate of drift in an idealized constant population size, obeying our modeling assumptions, as that observed in our true population.



Prado-Martinez, J., et al. (2013). Nature 1–5.

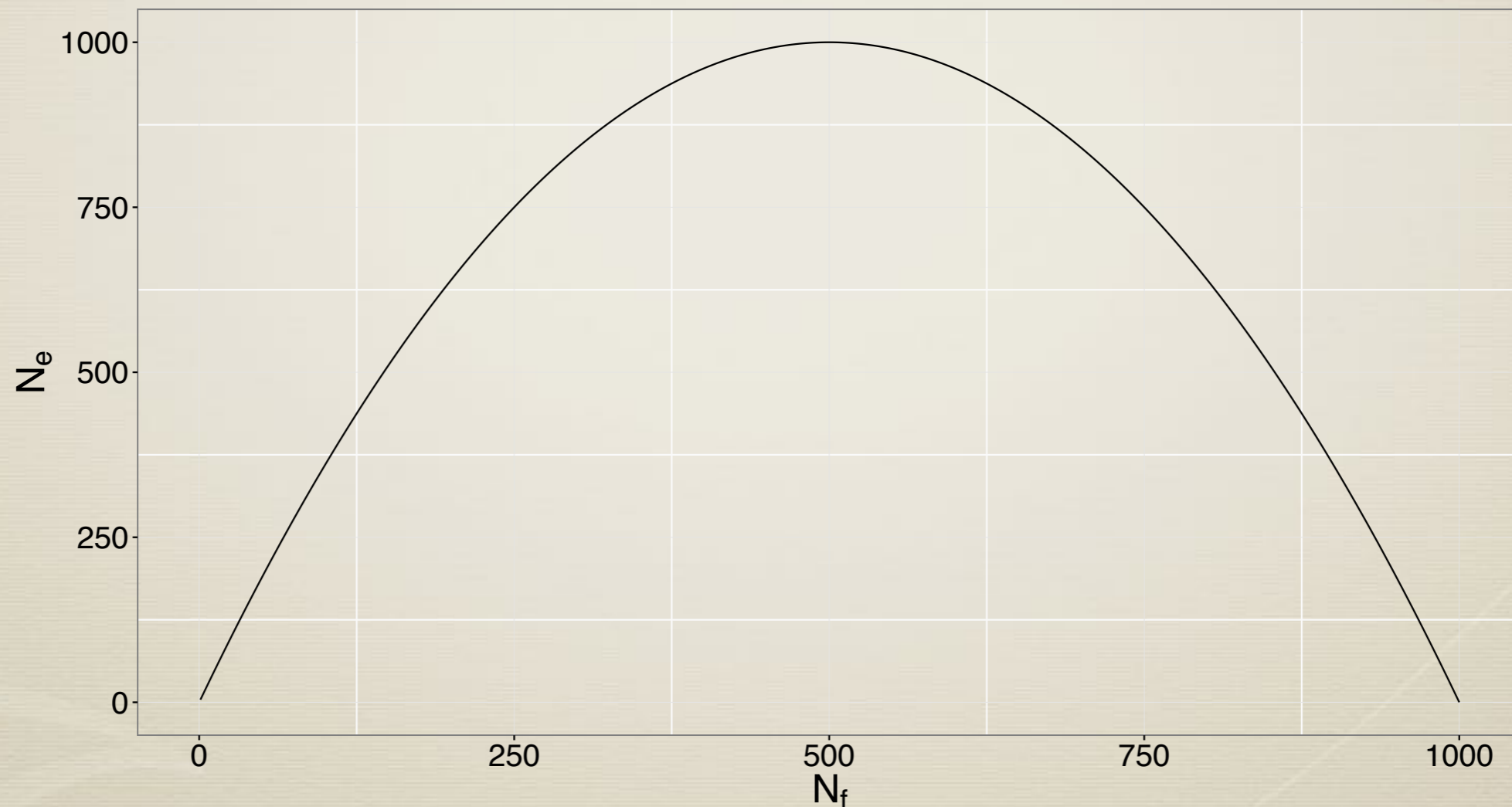
# Assumptions of pop gen models affecting $N_e$ when violated

- \* There are equal numbers of males and females, all of whom are able to reproduce
- \* All individuals are equally likely to produce offspring, and number of offspring the each produces varies no more than expected by chance
- \* Mating is random
- \* The number of breeding individuals is constant from one generation to the next.

**Essentially all violations to pop gen models decrease  $N_e$**

# $N_e$ with unequal numbers of breeding males and females

$$N_e = \frac{4N_m N_f}{N_m + N_f}$$



where  $N_m + N_f = 1000$



# Methodological timeline for human $N_e$ inference

SFS

Pedigrees

Haplotypes

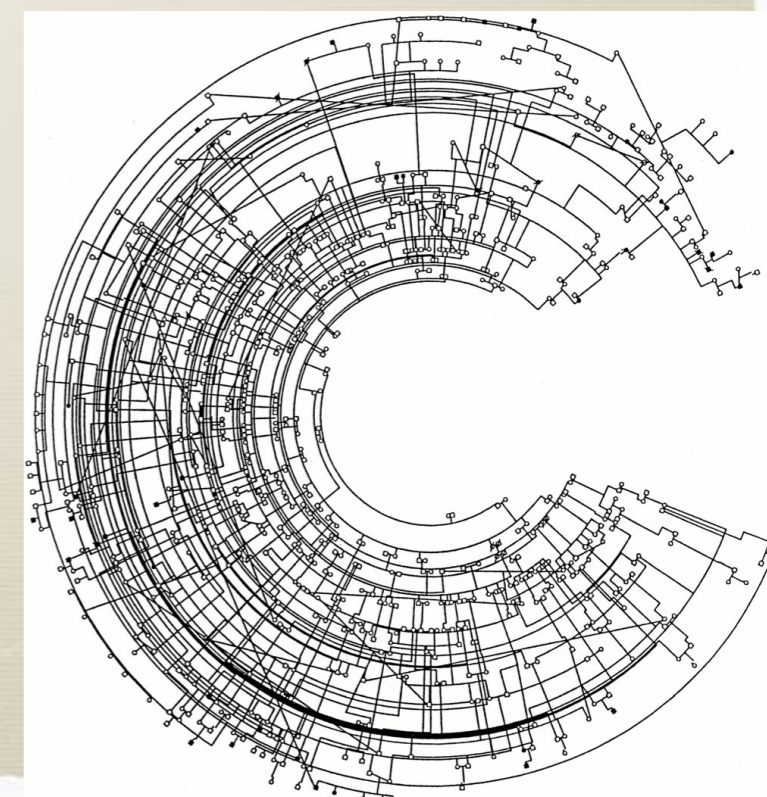
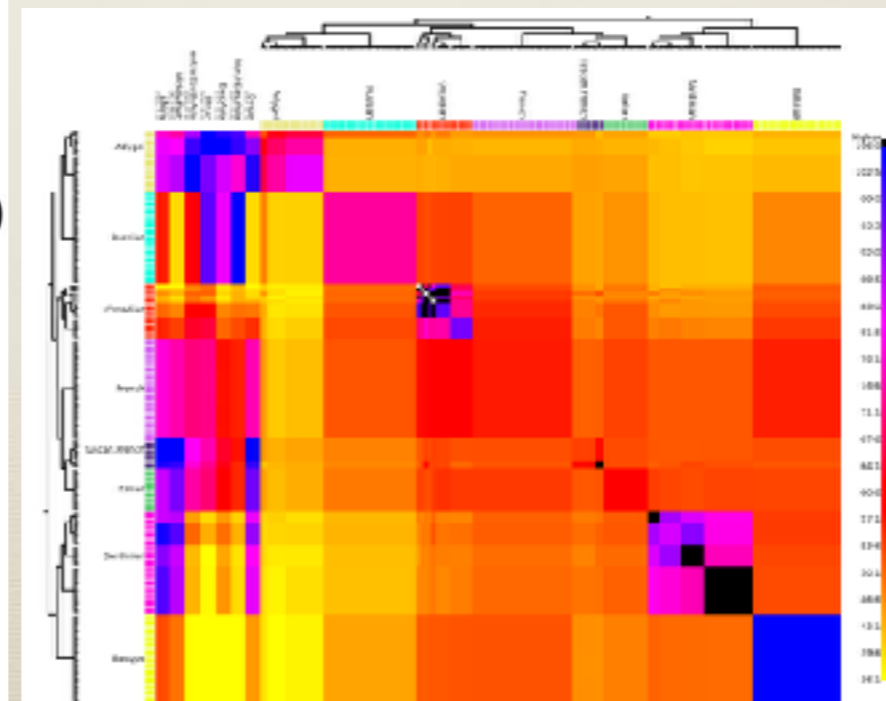
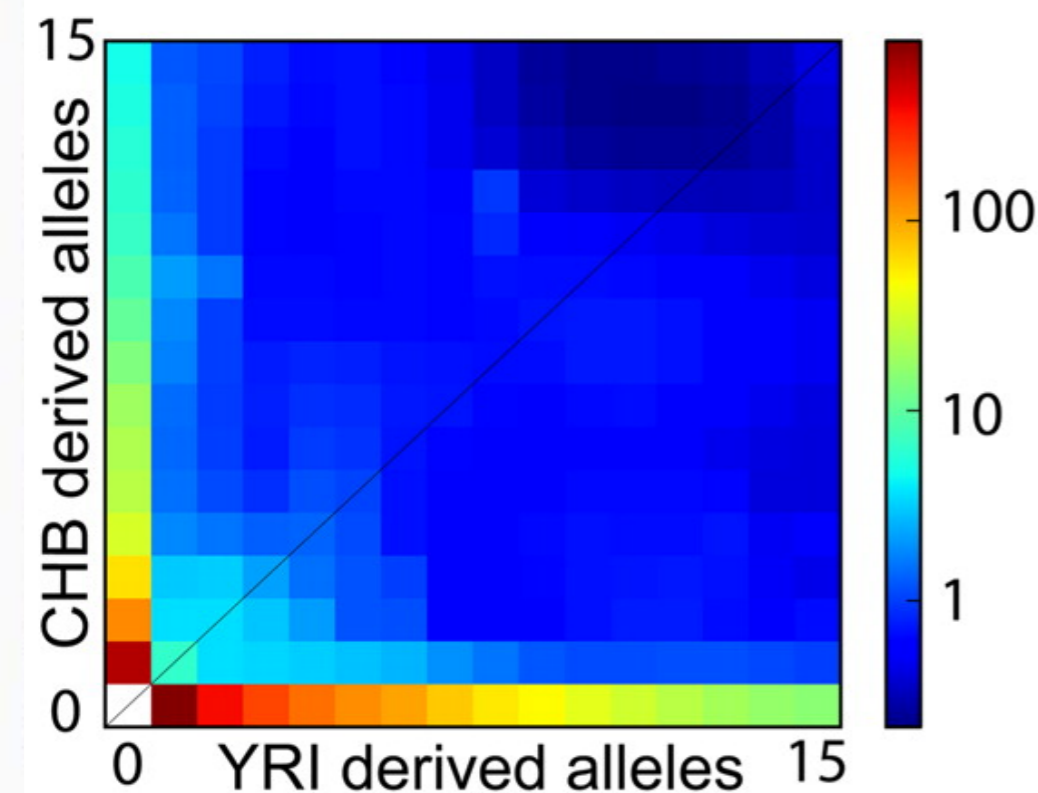
1000

100

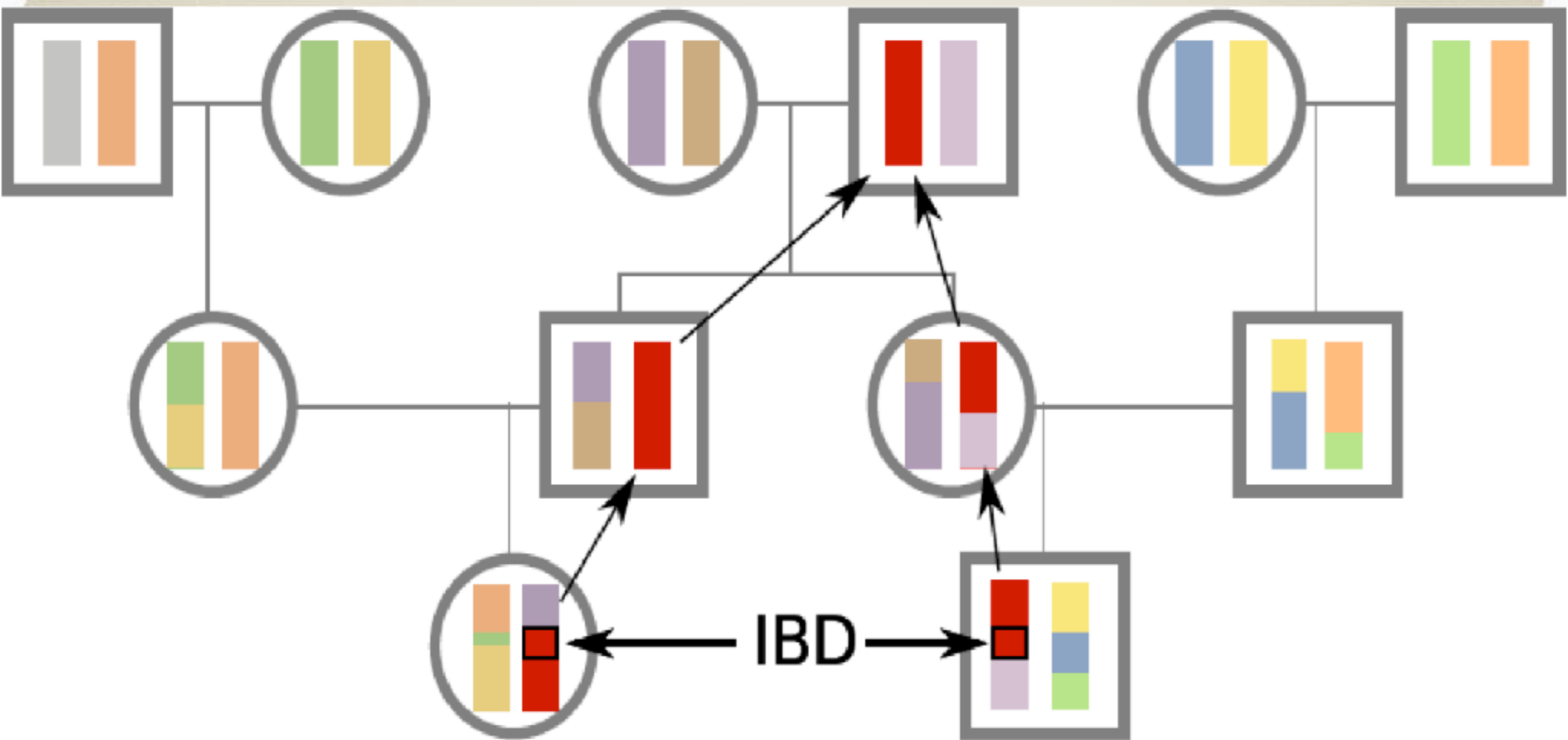
10

Present

Generations

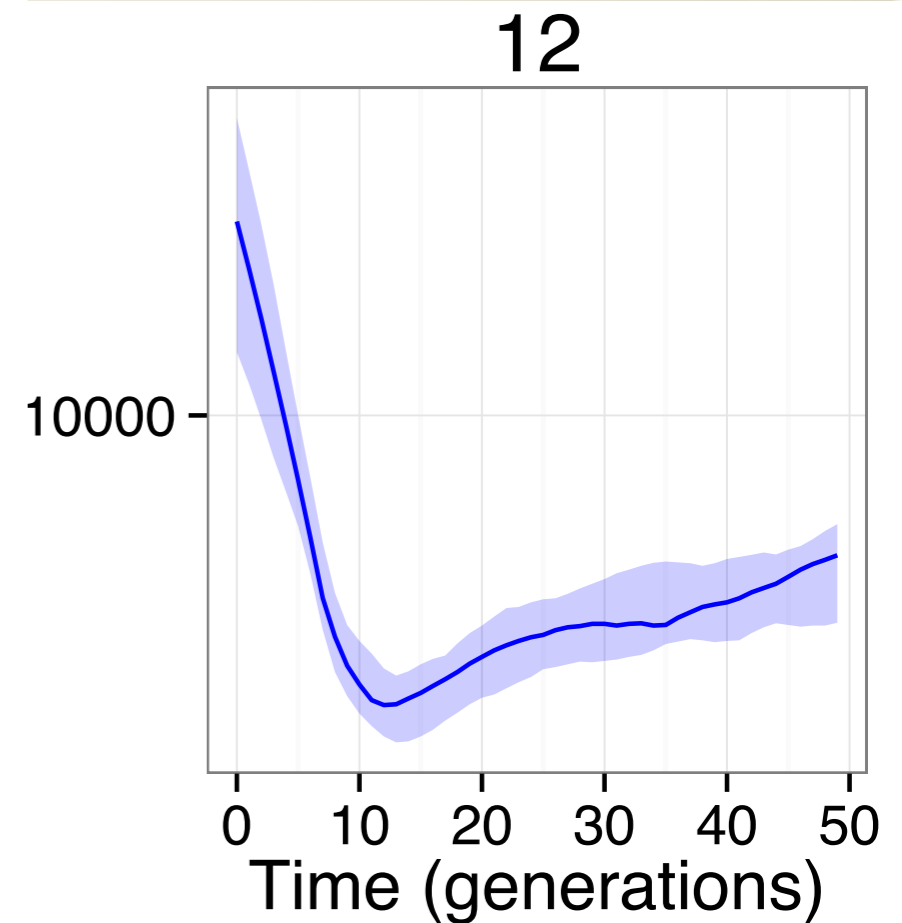
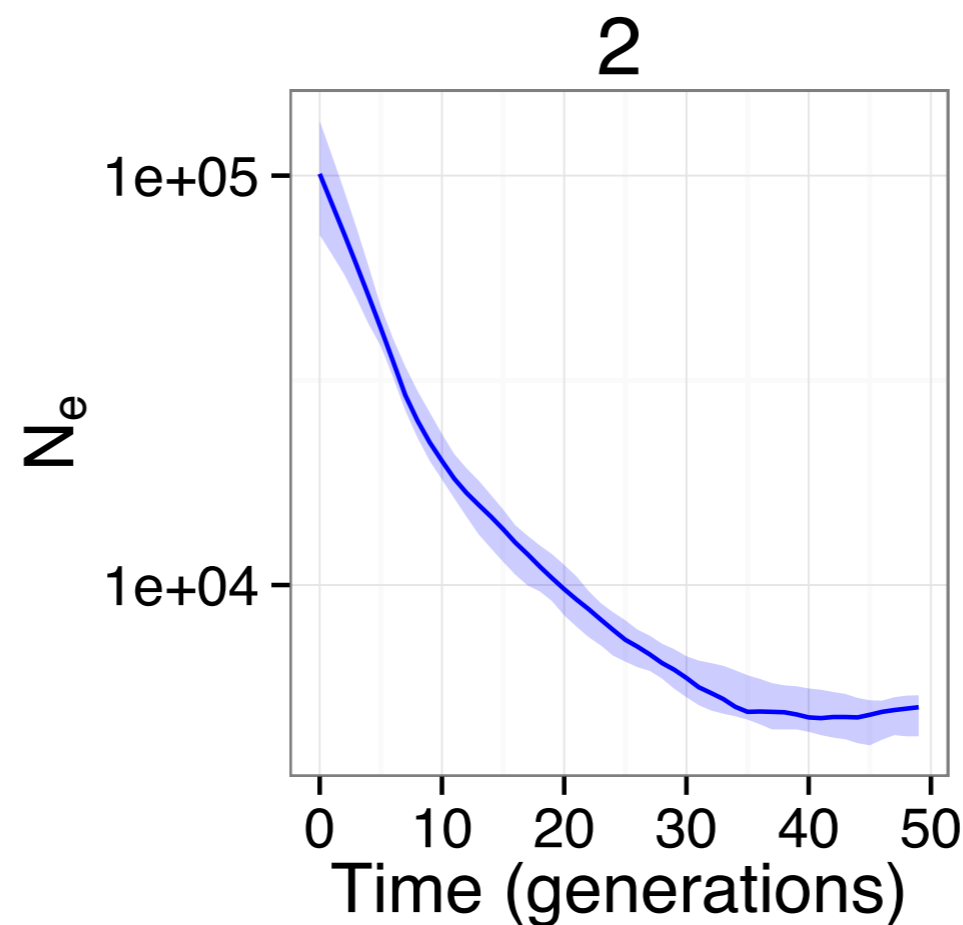
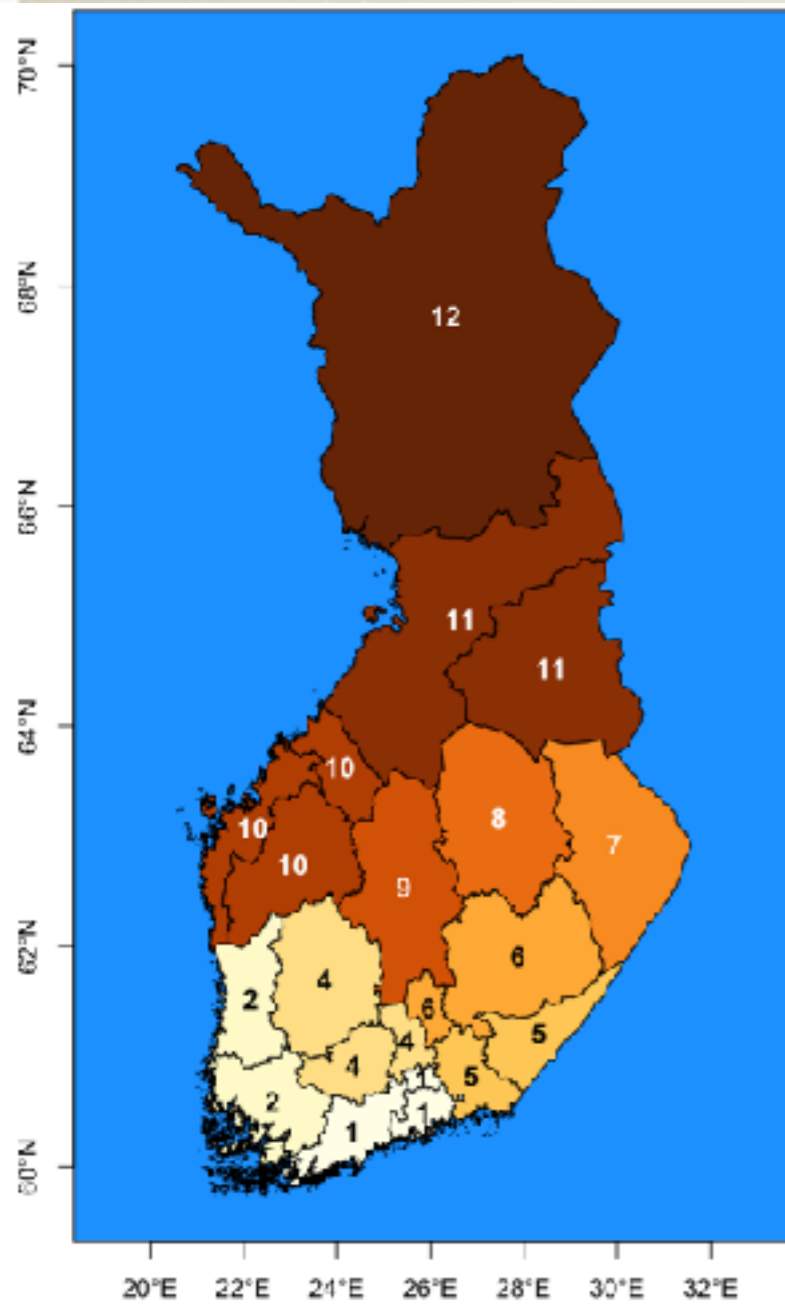


# Identity-by-descent



# Fine-scale birth record data enables refined view of population history

**2:** Southwest coastal region started growing longer ago  
**12:** Lapland maintained very little growth for extended period

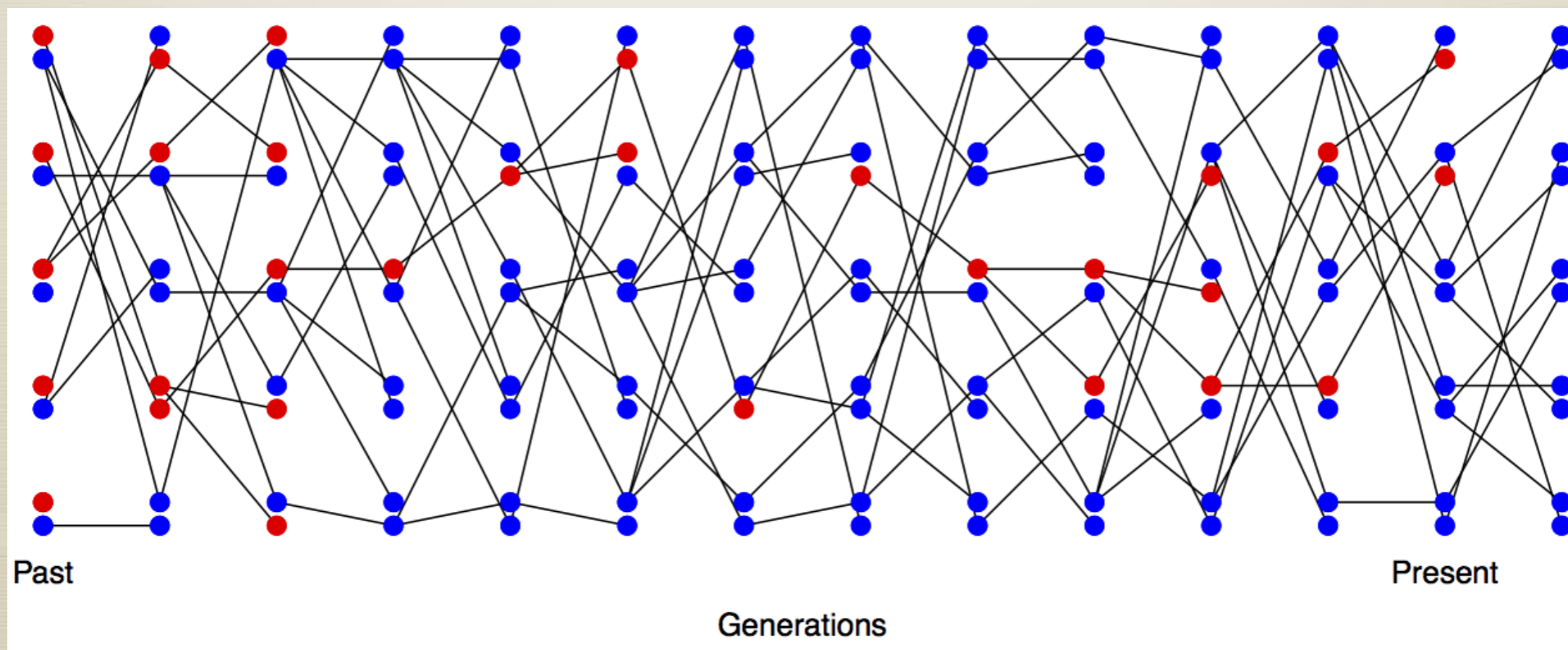


# Demographic models



# Wright-Fisher model

- \* Non-overlapping generations
- \* Binomial sampling of alleles
- \* Finite, constant  $N$
- \* Basis of the coalescent



Graham Coop's pop gen notes: <http://bit.ly/2fEXzUe>

# Wright-Fisher model

\* Diploid population of size  $N$  has  $2N$  alleles

\* Probability different parent:  $1 - 1/2N$

\* Probability that two alleles have same parent:  $1/2N$

\* Probability two alleles coalesce before mutation:

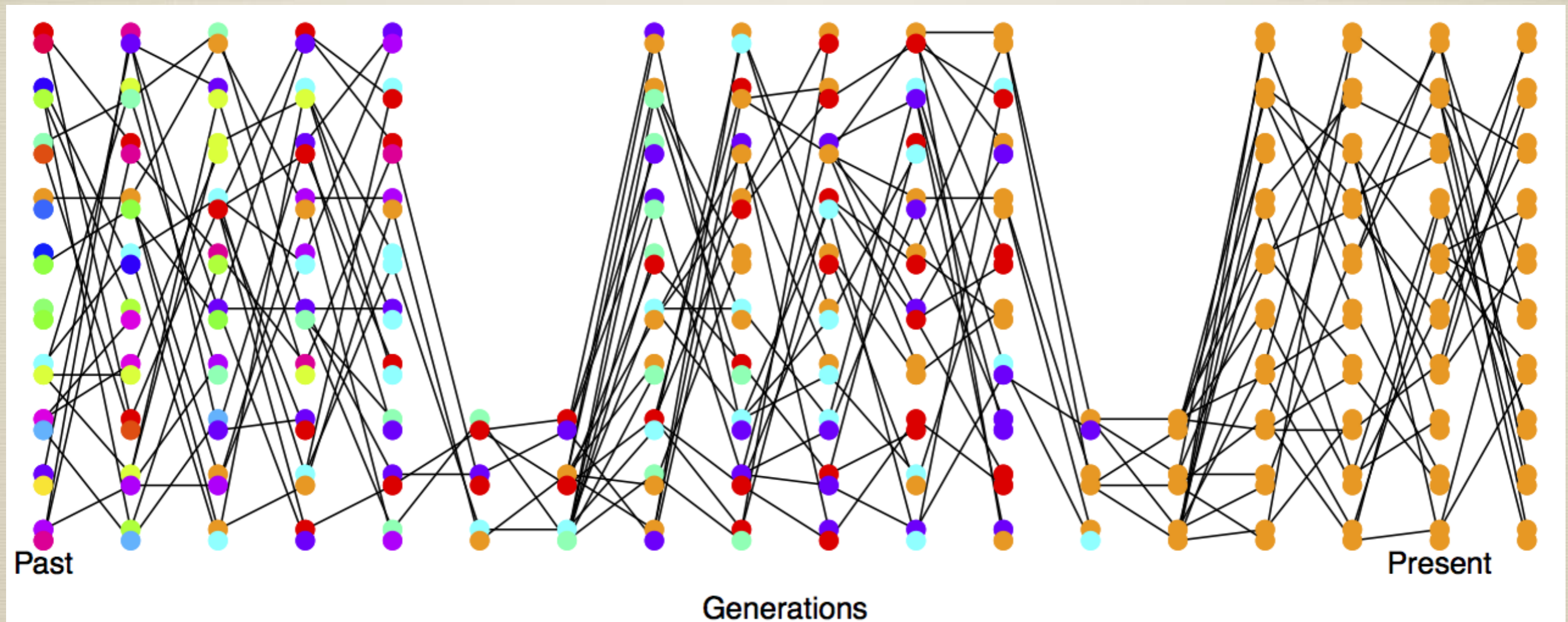
$$\frac{1}{2N} \int_0^{\infty} e^{-t(2\mu + 1/(2N))} dt = \frac{1/(2N)}{1/(2N) + 2\mu} = \frac{1}{1 + 4N\mu}$$

\* Population-scaled mutation rate:  $\theta = 4N_e\mu$

\* From the binomial:

$$E[K_1] = Np$$
$$Var[K_1] = Np(1 - p)$$

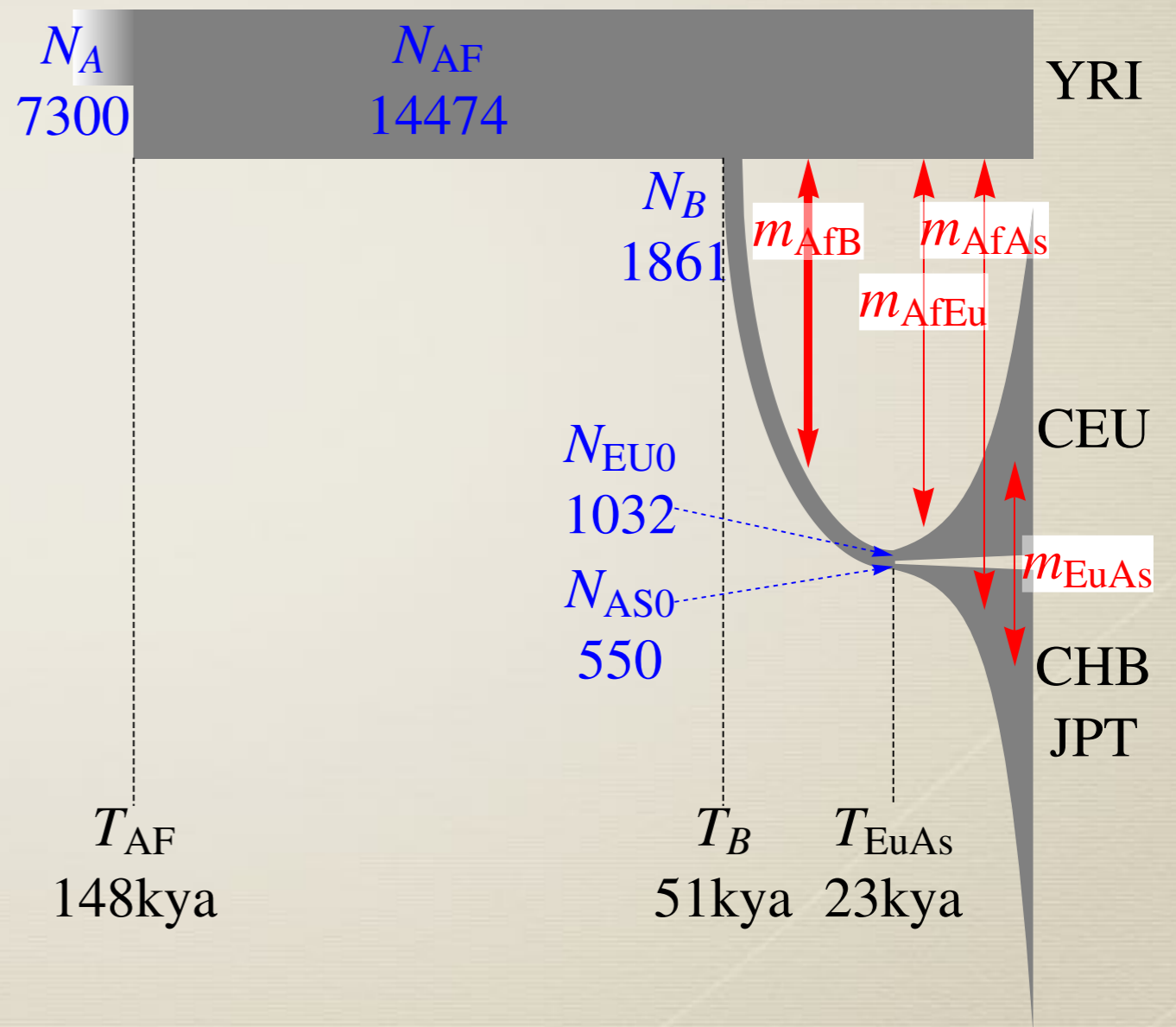
# Loss of heterozygosity in a bottlenecking population



Graham Coop's pop gen notes: <http://bit.ly/2fEXzUe>

# Demographic model from 1000 Genomes data

- \* Diffusion approximation,  $\delta a \delta i$
- \* Site-frequency spectrum
- \* 1000 Genomes phase I



Gravel, S., et al. (2011). PNAS. 108, 11983–11988.

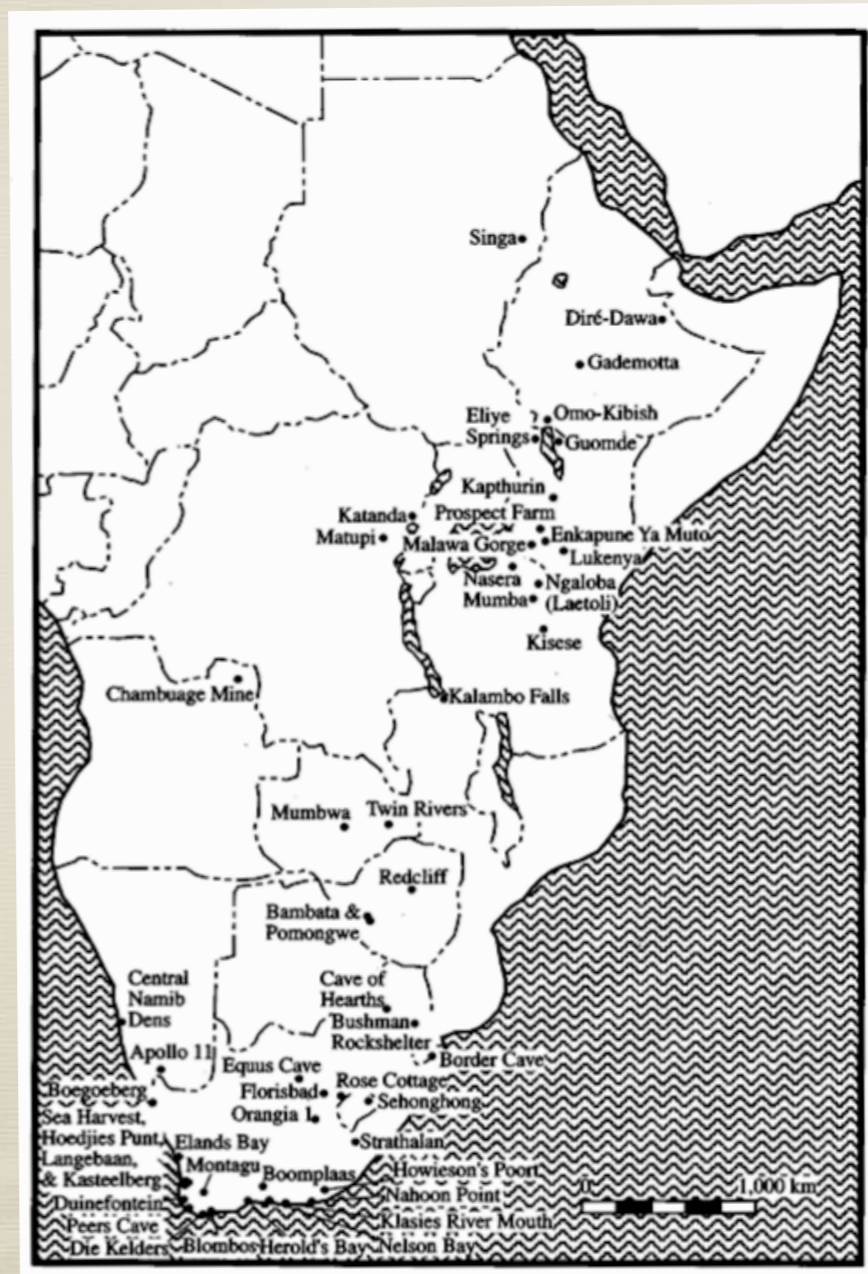


# African origins and population structure



What do we know about  
African population history?

# Anatomically modern humans originated in Africa

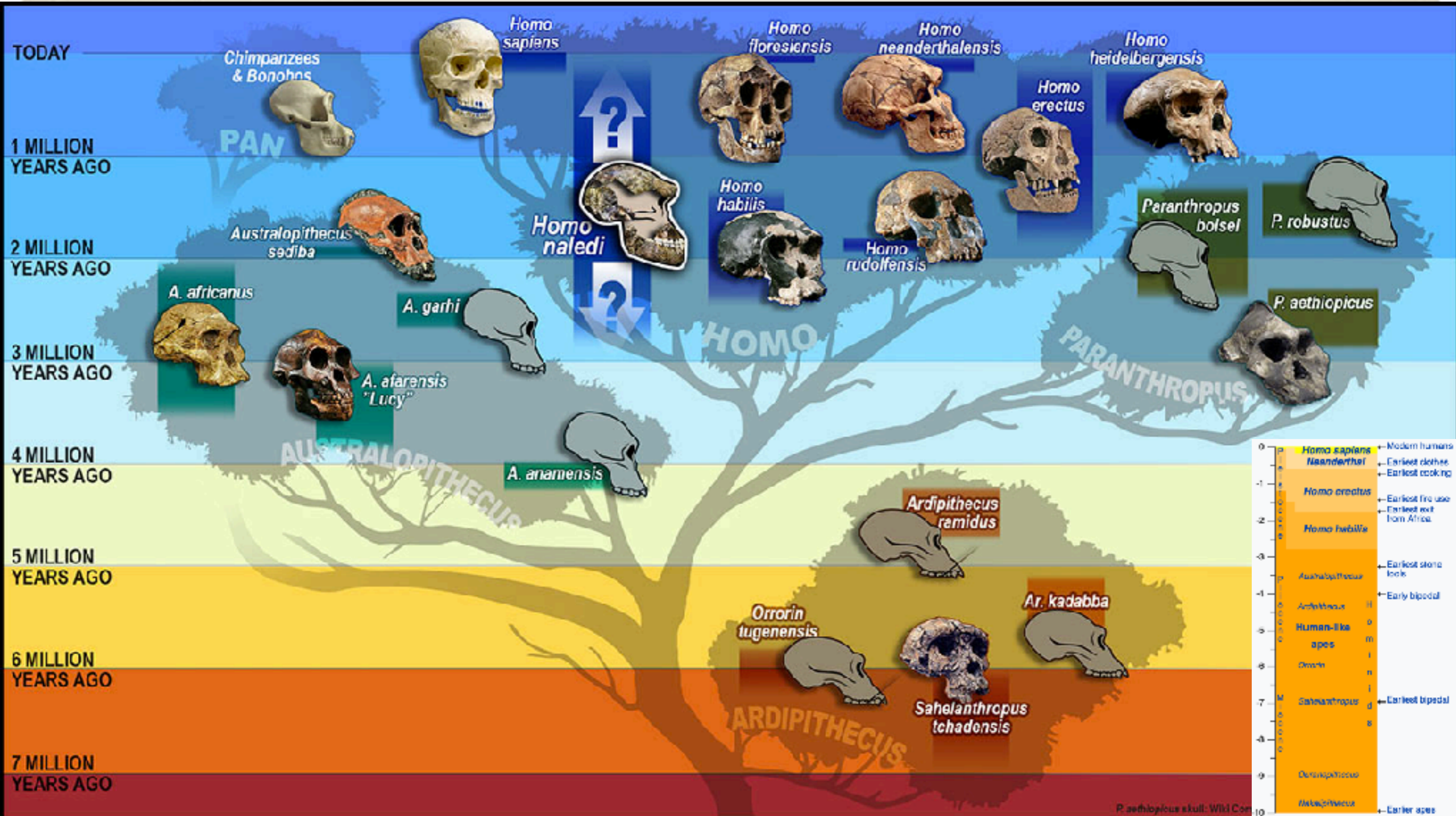


Klein 1999



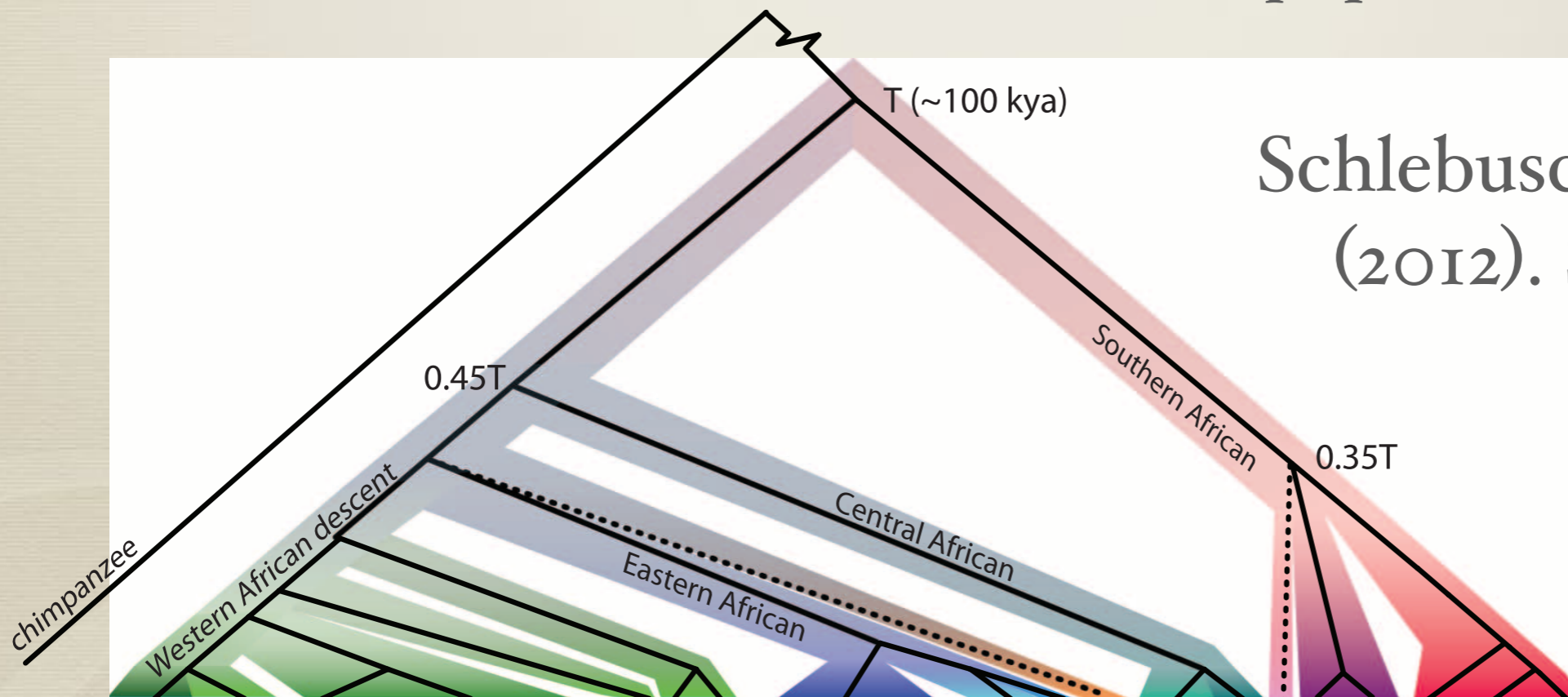
White 2003

# Hominid evolution



# Timing of population divergence within Africa

- \* Oldest divergence is between KhoeSan populations and everyone else (120-90 kya)
- \* Divergence between Central and Eastern Africans: 70-45 kya
- \* Eurasians derive from Eastern African populations



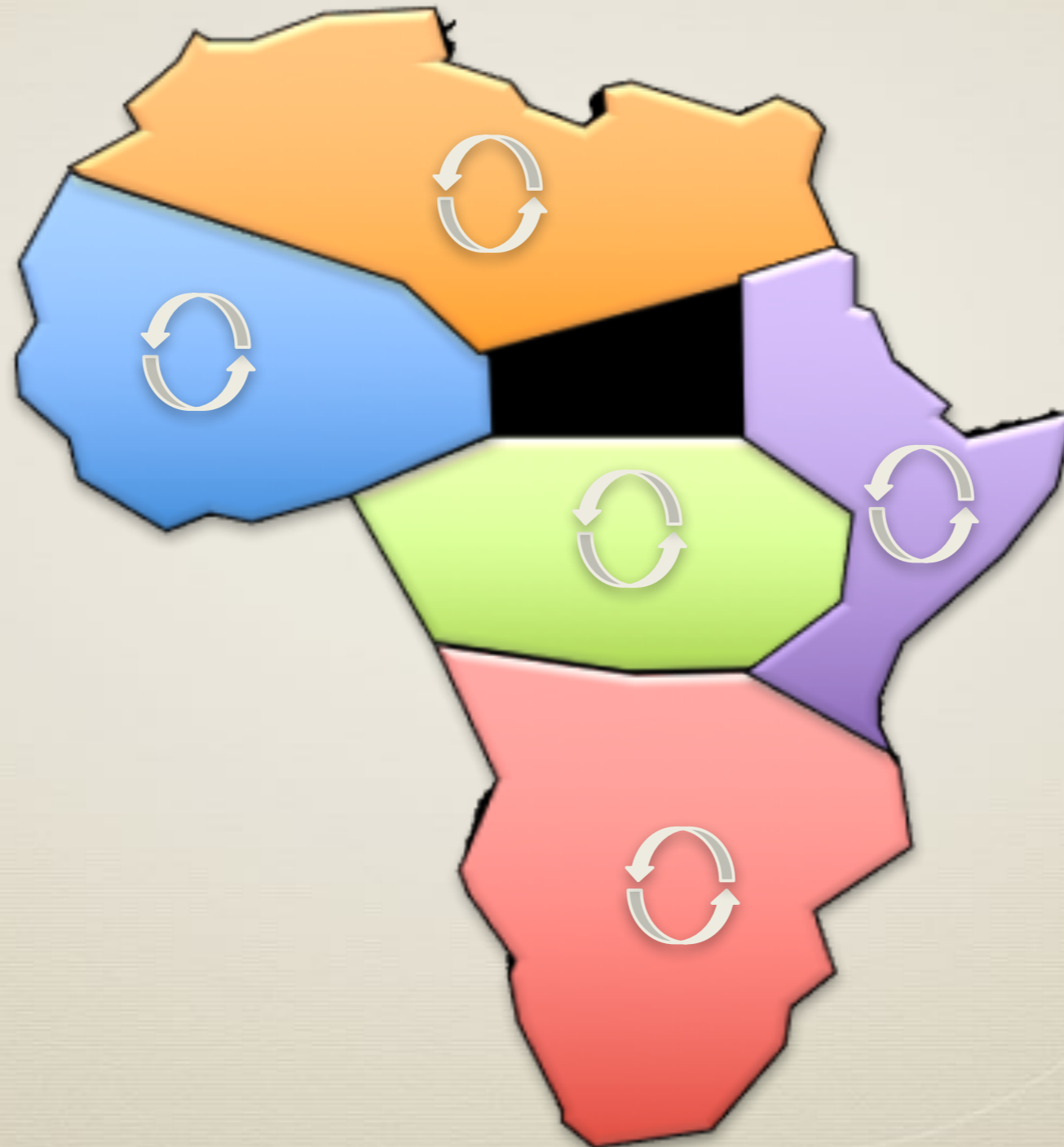
Schlebusch, C.M., et al.  
(2012). *Science* 374.

# Linguistic structure

- \* 5 major language families in Africa
- \* Expansion of Niger-Congo language 4,000 years ago
- \* Most isolated and most controversial language family is Khoisan



# Population structure



# Population samples

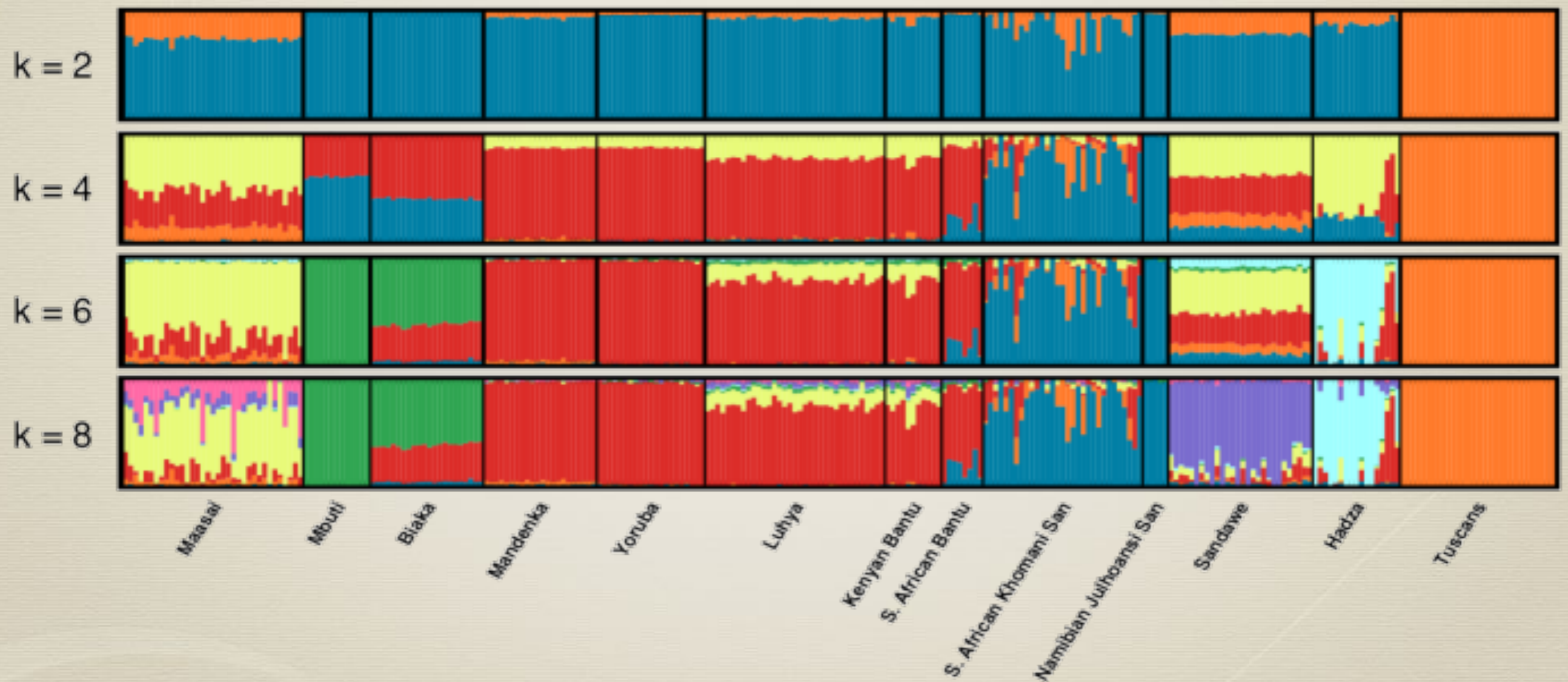
- \* Samples assayed on multiple genotyping platforms: Illumina 550K.v2 & 600K, Affymetrix 6.0, HapMap3
- \* 50,000 - 500,000 SNPs across the genome
- \* Datasets are publicly available (<http://www-evo.stanford.edu/repository/paper0002/>)



Henn, B.M., et al. (2011). PNAS. 108, 5154-5162.



# Structure within Africa



Henn, B.M., et al. (2011). PNAS. 108, 5154–5162.

# Structure and $F_{ST}$

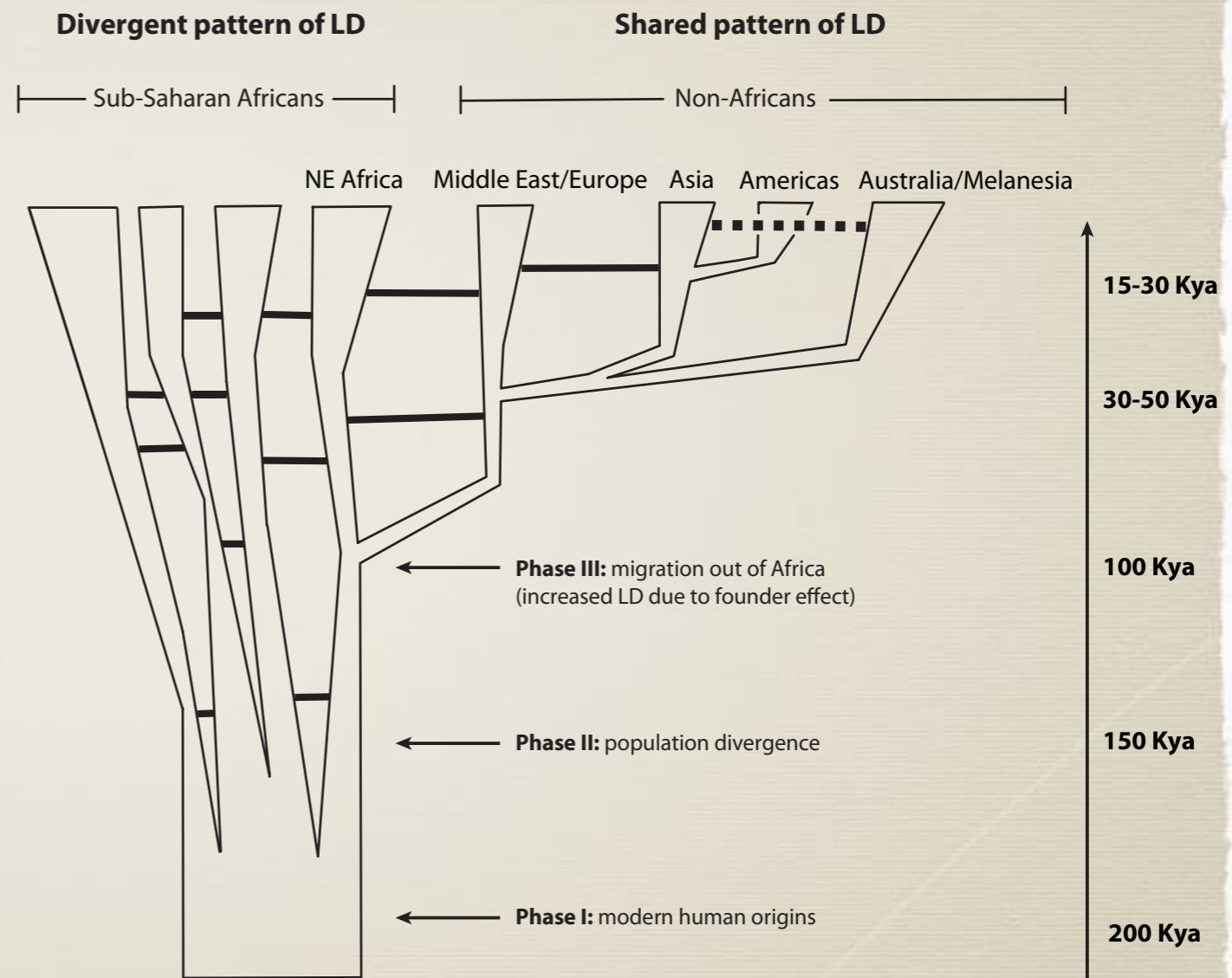


Cluster <sup>1</sup>	European	Sandawe	Hadza	Eastern Africa	Maasai <sup>2</sup>	Western African	Forest Pygmies
European							
Sandawe	0.135						
Hadza	0.256	0.158					
Eastern Africa	0.117	0.054	0.154				
Maasai <sup>2</sup>	0.172	0.108	0.218	0.104			
Western Africa	0.169	0.053	0.16	0.046	0.103		
Forest Pygmies	0.23	0.102	0.158	0.105	0.167	0.084	
Southern KhoeSan	0.25	0.122	0.222	0.131	0.194	0.115	0.107

Henn et al. (PNAS, 2011)

# Summary

- \* African populations are highly structured (pre-Bantu expansion)
- \* Time depth of structure is unresolved (~120-40 kya)
- \* Despite recent gene flow, underlying structure and diversity is detectable



# Takeaways

- \* Complexities to population structure (LD, allele frequency differences, etc). Need to consider for ALL genetic methods:
- \* GWAS - has potential to confound associations
- \* RVAS - difficulty accounting for rare structure
- \* Genetic risk prediction
- \* ...many more