



Polygenic risk scores

Alicia Martin, PhD Stanley Center Global Plenary 2018 September 12, 2018

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Outline

- What are polygenic risk scores?
- How to compute them
- Methods, interpretations, and uses
- Ancestry, health disparities, and ongoing/future directions



LETTERS

Race, Genetics and a Controversy

 \times

April 2, 2018

SCIENCE

An Enormous Study of the Genes Related to Staying in School

Researchers have found 1,271 gene variants associated with years of formal education. That's important, but not for the obvious reasons.

ED YONG JUL 23, 2018

	The New York Times				
Opinion					
Why Progressives Should					
Embrace the Genetics of Education					
By Kathryn Paige Harden					
Dr. Harden is a psychologist wr	o studies now genetic factors shape adolescent development.				
July 24, 2018	f 🕑 🖾 A 🔤 365				

Why We Shouldn't Embrace the Genetics of Education

It's a trap!

By John Warner // July 26, 2018

43 COMMENTS 😡

COLLEGE DAG

WANT

MIT
Technology
ReviewForecasts of geneticfate just got a lot more
accurateby Antonio Regaladoby Antonio RegaladoFebruary 21,2018

The New York Times

Clues to Your Health Are Hidden at 6.6 Million Spots in Your DNA



Aug. 13, 2018

With a sophisticated new algorithm, scientists have found a way to forecast an individual's risks for five deadly diseases.

How scientists are learning to predict your future with your genes



But what are the limits?

By Brian Resnick | @B_resnick | brian@vox.com | Updated Aug 25, 2018, 9:35am EDT

Insight & Intelligence

August 22, 2018

Why Do Polygenic Risk Scores Get So Much Hype?

GWAS for Common Disease Variants Gains Prominence

Julianna LeMieux, Ph.D.

The rise of the polygenic risk score



The

Future of

With You

Health Begins

"We propose the time has come to incorporate genetic risk scores into clinical practice"

- Previous criticisms: limited sample size
- Cheap test for insights into many diseases
- Integrate with other clinical factors for therapeutic decision-making

Knowles JW, Ashley EA (2018) Cardiovascular disease: The rise of the genetic risk score. PLoS Med 15(3): e1002546.

A long shared history between PRS and breeding values

Animals			Plants			
Domest	tication ~12,000 years ago	1860 -	Dome 1860:	stication ~12,000 years ago		
1886 C re pa	Concept of regression to describe elationship between offspring and arents (Galton)		1903 1908	Pure-line breeding theory (Johannsen) Hardy-Weinberg law		
1908 La (F	aw of population genetics Hardy & Weinberg)	1910 -	1908	Modern pedigree selection (Nilsson-Ehle)		
1918 P ar (F 1935 In 1950 E	opulation genetics introduced as n extension of the laws of inheritar Fisher, Wright & Haldane) nproved breeding methods (Lush) stimation of breeding values as	nce	1920 1939 1945	Mutation breeding (Stadler) Concept of single-seed-descent breeding method (Goulden) Recurrent selection method of breeding (Hull)		
ra 1953 M (V	andom effects (Henderson) lodel for DNA structure Natson & Crick)	1060	1952 1953	Methods for double-haploid lines (Chase) Model for DNA structure (Watson & Crick)		
1960 Q 1972 G 1975 B (E	luantitative genetics (Falconer) enetic engingeering, first scombinant DNA molecules (Berg) est linear unbiased prediction BLUP) (Henderson)	1960 -	1970 1980: 1983	Nobel Prize for the Green Revolution (Borlaug) Biotechnology, from the early 1980s Nobel Prize for discovery of mobile genetic elements (McClintock) Molecular markers used for improved selection		
1980sB 1990 M Se	folecular markers used for improve election (Lande & Thompson)	s ed	1994 1998	(Lande &Thompson) First approval of commercial GM variety Best linear unbiased prediction based on trait and marker data (TM-BLUP), a form of genomic		
2001 In ge et	ntroduction and application of enomic selection (Meuwissen t al.)	2010 -	2001	selection, introduced (Bernardo) Introduction of theoretical approaches to genomic selection (Meuwissen et al.)		
2013 C	RISPR-Cas9-based genome editi	ing	2010: 2013	Application of genomic prediction in plant breeding CRISPR–Cas9-based genome editing		

Hickey, J.M., et al. (2017). Nat. Genet. 49, 1297–1303.

LETTERS

Common polygenic variation contributes to risk of schizophrenia and bipolar disorder

The International Schizophrenia Consortium*

- The dark days of low-powered GWAS
- PRS show value of GWAS even in the absence of genome-wide significant loci



Figure 2 | Replication of the ISC-derived polygenic component in independent schizophrenia and bipolar disorder samples. Variance

What is a polygenic risk score?



Genetic prediction of an individual's phenotype



Sum the products of genotypes × effect size estimates from a GWAS across the genome

What is a polygenic risk score?



Genetic prediction of an individual's phenotype



Sum the products of genotypes × effect size estimates from a GWAS across the genome

Fundamental choices:

- Which SNPs to include
- What weights to apply

Considerations:

- LD
- P-value thresholds

Most common steps to calculate PRS

- 1. Obtain GWAS summary statistics from the largest possible **discovery samples**
- 2. Obtain independent **target samples** with genome-wide data
- 3. Identify SNPs in common between both datasets
- 4. Deal with association redundancy due to LD
- Restrict to SNPs with p < various thresholds (e.g., 5e-8, 1e-6, 1e-4, 0.05, 1)
- Calculate PRS as sum of risk alleles weighted by β from GWAS
- Evaluate PRS accuracy by regressing trait in target sample onto PRS (e.g. R²)

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1. Obtain large GWAS



Trait info: http://www.ukbiobank.ac.uk/data-showcase/ All things UK Biobank GWAS: http://www.nealelab.is/uk-biobank/

More powerful GWAS = more accurate predictor



Wray, N.R., et al. (2013). Nat. Rev. Genet. 14, 507–515.

What do GWAS summary statistics contain?

Minimal useful info: variant ID, chromosome, position, risk and protective allele, sample size, p-value, effect size, standard error

Example: standing height



variant	minor_al lele	minor_AF	low_confid ence_varia nt	n_complete_s amples	AC	ytx	beta	se	tstat	pval
1:15791:C:T	Т	5.44E-09	TRUE	360388	3.92E-03	3.47E-03	1.80E+01	1.78E+02	1.01E-01	9.19E-01
1:69487:G:A	А	5.76E-06	TRUE	360388	4.15E+00	-8.75E-02	-4.13E-02	3.5E-01	-1.18E-01	9.06E-01
1:69569:T:C	С	1.88E-04	TRUE	360388	1.36E+02	-2.08E+00	-4.70E-02	6.27E-02	-7.5E-01	4.54E-01
1:139853:C:T	Т	5.67E-06	TRUE	360388	4.09E+00	-1.06E-01	-4.21E-02	3.5E-01	-1.2E-01	9.04E-01
1:692794:CA:C	С	1.11E-01	FALSE	360388	7.97E+04	1.02E+02	7.97E-04	2.90E-03	2.75E-01	7.83E-01
1:693731:A:G	G	1.16E-01	FALSE	360388	8.35E+04	-6.93E+01	-1.44E-03	2.74E-03	-5.24E-01	6.00E-01
1:707522:G:C	С	9.73E-02	FALSE	360388	7.01E+04	-7.86E+00	2.47E-04	3.08E-03	8.02E-02	9.36E-01
1:717587:G:A	A	1.57E-02	FALSE	360388	1.13E+04	5.47E+00	1.13E-03	7.35E-03	1.54E-01	8.77E-01
1:723329:A:T	Т	1.73E-03	FALSE	360388	1.25E+03	3.87E+01	2.22E-02	2.17E-02	1.02E+00	3.06E-01

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2. Independent target cohort must be independent

Prediction "accuracy" measures will be overestimated if discovery and target are not independent. This can arise if:

- The same people are in both cohorts
- There are close relatives between the two
- SNPs are selected from meta-analysis of discovery + target



Choose your favorite dataset

Most people like phenotypes, but...



The 1000 Genomes Project Consortium. (2015). Nature 526, 68–74.

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Phase and impute data to help maximize overlap

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4. Account for LD



Two primary approaches:

- LD clumping (heuristic, less good)
 - In PLINK, --clump
- Model LD! LDPred (better, but harder to run)



Clumping with PLINK

Example:

```
plink --bfile [reference LD panel] \
--clump [summary statistics] \
--clump-field [summary statistics p-value column name] \
--clump-snp-field [summary statistics snp column name] \
--clump-p1 1 \
--clump-p2 1 \
--clump-r2 0.5 \
--clump-kb 250 \
--out [output filename]
```

Most common steps to calculate PRS

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5. Use various p thresholds



Use p-thresholds from 5e-8, 1-e7,...0.05...1 Report results from all thresholds

For PLINK

Create a file with multiple thresholds, for example: [Threshold name] [lower bound] [upper bound] 0.0000005 s1 0 s2 0.00001 0 s3 0.0001 0 s4 0 0.001 **s**5 0 0.01 0.05 **s**6 0 0.1 s7 0 0.2 **s8** 0 0.5 0 **s**9 1 s10 0

Most common steps to calculate PRS

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6. Calculate PRS

- $PRS_j = \Sigma [\beta_{i,discovery} * SNP_{ij}]$
 - β_{i,discovery} = effect size in discovery sample from
 - linear regression (continuous trait)
 - logistic regression (binary trait; $\beta = \log(OR)$)
- SNP_{ij} = # alleles (0,1,2) for SNP i of person j in target sample
- In PLINK, --score.

In PLINK

Example:

```
plink --bfile [best guess genotypes] \
--extract [clumped snps] \
--q-score-range [range file] [summary stats] [variant ID
column #] [p-value column #] [header] \
--score [summary stats] [variant ID column #] [allele column
#] [effect size column #] \
--out [output file]
```

Most common steps to calculate PRS

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7. Evaluate PRS accuracy

- For continuous traits, this is simply the R² from regressing trait ~ PRS in target + covariates
- Trickier for binary (e.g., case-control) data due to ascertainment
 - Often Nagelkerke's R² is reported. Unfortunate, because this depends on prevalence and case:control ratio.



7. Evaluate PRS accuracy

- For continuous traits, this is simply the R² from regressing trait ~ PRS in target + covariates
- Trickier for binary (e.g., case-control) data due to ascertainment
 - Often Nagelkerke's R² is reported. Unfortunate, because this depends on prevalence + case:control ratio.
 - Better: liability-scale R²

7. Please report comparable R² ! (thrilling stuff, I know)

TABLE I. Brief description of R² measures used in this study and their theoretical expectation

Brief description	Notation and formula	Expectation
\mathbb{R}^2 on the observed scale	$R_o^2 = 1 - rac{\sum\limits_{i}^{N} (y_i - \hat{y})^2}{\sum\limits_{i}^{N} (y_i - \hat{y})^2}$	$h_l^2 \frac{z^2}{K(1-K)}$
Cox and Snell's \mathbb{R}^2 on the observed scale	$R_{\text{C\&S}}^2 = 1 - \left\{ \frac{\text{Likelihood}_{\text{null}}}{\text{Likelihood}_{\text{full}}} \right\}^{2/N}$	$h_l^2 \frac{z^2}{K(1-K)}$
Nagelkerke's R ² on the observed scale (hard to compare)	$R_N^2 = \frac{R_{C\&S}^2}{1 - (\text{Likelihood}_{\text{null}})^{2/N}}$	$\frac{R_{C\&S}^2}{1-K^{2K} + (1-K)^{2(1-K)}}$
R ² on the liability scale	$R_l^2 = R_o^2 \frac{\hat{K}(1-\hat{K})}{z^2}$	h_l^2
R ² on the probit liability scale (easy to compare!)	$R_{\text{probit}}^2 = \frac{\operatorname{var}(b_{\operatorname{probit}}g_i)}{\operatorname{var}(b_{\operatorname{probit}}g_i)+1}$	h_l^2
R ² on the logit liability scale	$R_{\text{logit}}^2 = \frac{\operatorname{var}(\hat{b}_{\text{logit}}g_i)}{\operatorname{var}(\hat{b}_{\text{logit}}g_i) + 3.29}$	h_l^2
R^2 on the liability scale using AUC	$R_{\rm AUC}^2 = \frac{2Q^2}{(m_2 - m)^2 + Q^2 m(m - t) + m_2(m_2 - t)}$	h_l^2
\mathbb{R}^2 on the liability scale when using ascertained case-control studies	$R_{l_{oc}}^2 = \frac{R_{o_{cc}}^2 C}{1 + R_{o_{cc}}^2 \theta C}$	h_l^2

y, observations that are 0 or 1 for unaffected and affected individuals; h_i^2 , heritability on the liability scale, in this context the proportion of variance on the liability scale explained by the genetic profile; *K*, population prevalence; *z*, the height of a normal density curve at the point according to *K*; *g*, the sum of all additive genetic factors in the estimated genetic predictor; *b*, regression coefficient from generalized linear model; *m*, the mean liability for cases; *m*₂, the mean liability for controls; *t*, the threshold on the normal distribution that truncates the proportion of disease prevalence *K*; *Q*, the inverse of the cumulative density function of the normal distribution up to values of AUC; *C* and θ , correcting factors for ascertainment.

Lee, S.H., et al. (2012). Genet. Epidemiol. 36, 214–224.

So now you have a PRS...

- What are polygenic risk scores?
- How to compute them
- Methods, interpretations, and uses
- Ancestry, health disparities, and ongoing/future directions

The rise of the polygenic risk score



No discussion of ancestry!

"We propose the time has come to incorporate genetic risk scores into clinical practice"

- Previous criticisms: limited sample size
- Cheap test for insights into many diseases
- Integrate with other clinical factors for therapeutic decision-making

Knowles JW, Ashley EA (2018) Cardiovascular disease: The rise of the genetic risk score. PLoS Med 15(3): e1002546.

Genomics has a diversity problem



Causal effects are mostly shared across populations



Predictable basis of PRS disparities

Prediction accuracy decays with $F_{\mbox{\scriptsize ST}}$

Why?

- GWAS best-powered to discover common variants

Please cite this article in press as: Martin et al., Human Demographic History Impacts Genetic Risk Prediction across Diverse Populations, The American Journal of Human Genetics (2017), http://dx.doi.org/10.1016/j.ajhg.2017.03.004

ARTICLE

Human Demographic History Impacts Genetic Risk Prediction across Diverse Populations

Alicia R. Martin,^{1,2,3,4} Christopher R. Gignoux,⁴ Raymond K. Walters,^{1,2,3} Genevieve L. Wojcik,⁴ Benjamin M. Neale,^{1,2,3} Simon Gravel,^{5,6} Mark J. Daly,^{1,2,3} Carlos D. Bustamante,⁴ and Eimear E. Kenny^{7,8,9,10,*}

- Polygenic height scores are substantially different across populations
- These differences are not meaningful

Coalescent model for simulation framework

Demographic model: Gravel, S., et al. (2011). Proc. Natl. Acad. Sci. U. S. A. 108, 11983–11988. msprime: Kelleher, J., Etheridge, A.M., and Mcvean, G. (2016). PLoS Comput Biol 1–22.

Simulation overview

- Simulate genotypes (AFR, EUR, EAS)
- 2. Assign evenly spaced causal variants

4. Define EUR cases, controls (10k each)

6. Compute PRS_{INFER} across populations

 $X = \sum_{i=1}^{n} g_i \beta_i -$

PRS_{TRUE} is not significantly different across populations

True causal variants 80 60 Population Density AFR EAS EUR 20 0

0.00

PRS_{True}

0.01

0.02

-0.01

-0.02

PRSINFER is highly stratified across populations

Unpredictable PRS biases across populations

Unpredictable PRS biases across populations

Analogous to different traits:

For a given trait, impossible to predict a priori which population will have highest inferred risk!

Staggering PRS disparities across populations

Staggering PRS disparities across populations

Hailiang Huang

Chia-Yen Chen

Psychiatric Genomics Consortium

P-value threshold

Despite 3X larger sample sizes in Europeans, prediction in East Asians performs best with matched training data

Other examples: BMI (Akiyama et al, 2018 Nat Gen) SCZ (Li et al, 2017 Nat Gen)

Masahiro Kanai

Goal: Compare PRS accuracy for 17 traits in UKBB and BBJ

- Randomly set aside 5,000 individuals from each biobank
 - Match BBJ proportion with disease ascertainment
- Run GWAS on all other BBJ individuals. Match numbers in UKBB.

Do we see symmetric, comparable PRS accuracy?

Masahiro Kanai

Masahiro Kanai

Trans-ethnic genetic correlation is quite high

Cohort definition matters!

- UKBB has a "healthy volunteer" bias (healthier than average population)
- BBJ cohort is ascertained for 47 diseases (sicker than average population)
 - Manually transcribe patients' data from medical records in each hospital, read through and re-enter into BBJ's electronic database

Cohort definition matters!

	Observed h ²	Observed h ²		
Trait	(BBJ)	(UKBB)	SE (BBJ)	SE (UKBB)
Basophil	0.0441	0.0213	0.0121	0.0050
BMI	0.1361	0.1955	0.0087	0.0090
DBP	0.0430	0.0984	0.0051	0.0068
Eosinophil	0.0586	0.1354	0.0093	0.0167
Hb	0.0452	0.1054	0.0053	0.0107
Height	0.3059	0.3675	0.0187	0.0208
Ht	0.0457	0.0942	0.0056	0.0093
Lymphocyte	0.0516	0.1318	0.0073	0.0118
MCH	0.1309	0.1942	0.0184	0.0210
MCHC	0.0481	0.0402	0.0080	0.0052
MCV	0.1447	0.1994	0.0178	0.0201
Monocyte	0.0448	0.1331	0.0090	0.0177
Neutrophil	0.0758	0.1153	0.0097	0.0131
Platelet	0.1260	0.2012	0.0148	0.0179
RBC	0.0818	0.1586	0.0093	0.0141
SBP	0.0574	0.1041	0.0063	0.0070
WBC	0.0778	0.1286	0.0074	0.0114

...but a lot of room for growth

Masahiro Kanai

Note: differing axes

New statistical approaches for genetic prediction Under construction

Multi-population

Study

Target

Approach

Multi-ancestry meta-analysis (MAMA)

Kalman filter $R_3 \qquad R_{n-1}$

mismatch

Single population **Recently admixed** population

Personalized LD Panel

Genetic prediction with GWAS from multiple populations

Multi-population AND

Study

Target

Approach

Multi-ancestry meta-analysis (MAMA)

- Approach: Consider cross-population LD to recalibrate effect sizes in each population
- Related methods: LD score regression, MTAG
- **Status**: Implementing across global biobanks

Patrick Turley

Hui Li

Raymond Walters

GWAS stats differ across populations due to LD

$$\hat{\beta}_{j} = \sum_{k=1}^{M} r_{j,k}b + \epsilon$$

- Causal effect sizes tend to be the same...
- ... but effect size
 <u>estimates</u> vary with LD
 differences across
 populations

Key elements of MAMA

- Variance-covariance of genetic component
- More information shared when LD patterns and conditional effects are similar
- Variance-covariance of error and biases
- Less information shared when estimates are noisy or biased

Applications in real data

Psychiatric disorders

Phenotype	Population/ Location	N Cases	N Controls	Source
Schizophrenia	Europe	34,989	113,075	PGC
Schizophrenia	East Asia	13,305	16,244	PGC
Schizophrenia	African Americans	6,981	2,564	PGC
Bipolar/Schizophrenia	Hispanic/Latinos	3,982	4,553	PGC
Schizophrenia	Africa	~18,000	~18,000	NeuroGAP
PTSD	U.S. minorities	21,845	58,769	PGC/CVB

Anthropometric traits (height, BMI, blood panels, etc)

Biobank	Code	Sample sizes
UK Biobank	UKBB	~500k
Finnish biobank	Finrisk	~50k
BioBank Japan Project	BBJ	~162k
China Kadoorie Biobank	CKB	~100k
PAGE (US minorities)	PAGE	~50k

Lots of nice resources!

Some nice reviews:

- Pasaniuc, B., and Price, A.L. (2017). Dissecting the genetics of complex traits using summary association statistics. Nat. Rev. Genet. *18*, 117–127.
- Chatterjee, N., Shi, J., and García-Closas, M. (2016). Developing and evaluating polygenic risk prediction models for stratified disease prevention. Nat. Rev. Genet.
- Wray, N.R., Yang, J., Hayes, B.J., Price, A.L., Goddard, M.E., and Visscher, P.M. (2013). Pitfalls of predicting complex traits from SNPs. Nat. Rev. Genet. 14, 507– 515.

Coming soon:

- Martin, Kanai, Daly. Clinical use of genetic risk scores will exacerbate existing health disparities (in prep)
- Martin, Daly, Robinson, Hyman, & Neale. Predicting polygenic risk of psychiatric disorders (in revision)

Conclusions

- Polygenic risk scores have the potential to improve clinical models, but are currently likely to <u>increase health</u> <u>disparities</u> due to Eurocentric GWAS biases
- We need more <u>diverse GWAS studies</u> and <u>new methods</u> to address these major issues
- We are developing new methods that can use biobankscale data from diverse populations to improve the generalizability of genetic prediction across populations

Future directions

- How will we use PRS in the future?
 - Biomarker for: behavioral interventions? differential diagnosis? personalized drug therapies? reducing cost of clinical trials?
- Tricky issues to resolve:
 - Pleiotropy
 - Healthcare economics: \$ and life disparities by ethnicity?

Acknowledgments

MGH/Broad

- Mark Daly
- Ben Neale
- Patrick Turley
- Raymond Walters
- Duncan Palmer
- Hailiang Huang
- Chia-Yen Chen
- Masahiro Kanai
- Elizabeth Atkinson
- Caitlin Carey
- Alex Bloemendal
- Karestan Koenen
- Giulio Genovese
- Elise Robinson
- Steve Hyman

Stanford

- Carlos Bustamante
- Genevieve Wojcik

Mt. Sinai

Eimear Kenny

UC Denver

Chris Gignoux

McGill

• Simon Gravel

FIMM

- Samuli Ripatti
- Juuka Koskela
- Juulia Partanen
- Sini Kerminen
- Matti Pirinen
- Aarno Palotie

RIKEN

- Y. Okada
- Yoichiro Kamatani

Questions/comments?

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<u>Consortia</u> 1000 Genomes Project

NeuroGAP-Psychosis

PGC-SCZ

• Max Lam

SSGAC

- Hui Li
- Dan Benjamin
- David Cesarini
- Meghan Zacher

Funding

• K99MH117229

